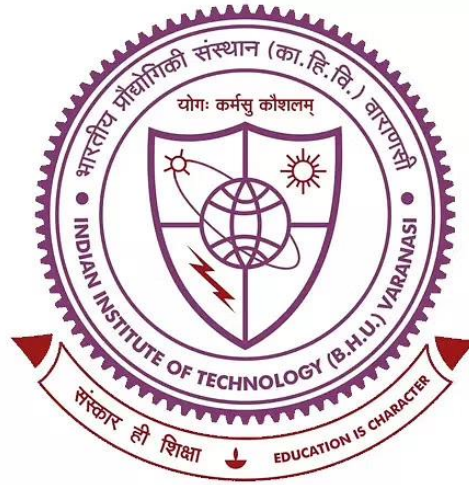


Deep Learning Applications in Stroke Risk Assessment using B-mode Carotid Ultrasound Images



Thesis submitted in partial fulfilment for the
Award of Degree

Doctor of Philosophy

By

Pankaj Kumar Jain

**SCHOOL OF BIOMEDICAL ENGINEERING
INDIAN INSTITUTE OF TECHNOLOGY
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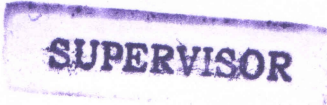
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Date : 12/01/2023

Place : Varanasi



(Pankaj Kumar Jain)

Dedicated to My Family

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List of Abbreviations

SN	Acronym	Description of the Acronyms
1	AI	Artificial Intelligence
2	ASE	American Society of Echocardiography
3	ADAM	Adaptive Learning Rate Optimization Algorithm
4	ADPA	Absolute Plaque Area Difference
5	AST	Artery Segment Type
6	AUC	Area Under the ROC Curve
7	BA	Bland-Altman's Plot
8	CAD	Computer-Aided Diagnosis
9	CC	Coefficient of Correlation
10	CCA	Common Carotid Artery
11	CDP	Cumulative Distribution Plot
12	CDC	Centre for Disease Control and Prevention
13	CE-loss	Cross Entropy Loss
14	cIMT	Carotid Intima Media Thickness
15	CNN	Convolutional Neural Network
16	CT	Computed Tomography
17	CV	Cross-Validation
18	CVDs/CVEs	Cardiovascular Diseases/Events
19	DL	Deep Learning
20	DLPA-CE	Deep learning Plaque Area using CE-loss
21	DLPA-DSC	Deep Learning Plaque Area using DSC-loss
22	DSC-loss	Dice Similarity Coefficient Loss
23	ECA	External Carotid Artery
24	ECST	European Carotid Surgery Trial
25	FCN	Fully Connected Network
26	GPU	Graphics Processing Unit
27	GSM	Grey Scale Median
28	GT	Ground Truth
29	GTPA	Ground Truth Plaque Area
30	HT	Hypertension
31	HDL	Hybrid Deep Learning, high density lipoprotein
32	HDLPA-CE	Hybrid Deep Learning Plaque Area using CE-loss
33	HDLPA-DSC	Hybrid Deep Learning Plaque Area using DSC-loss
34	ICA	Internal Carotid Artery
35	IMTV	Intima-Media Thickness Variability
36	LD	Lumen Diameter
37	LDL	Low Density Lipoprotein
38	LI	Lumen-Intima

39	MA	Media-Adventitia
40	MAD	Mean Absolute Difference
41	MCC	Mathew’s Correlation Coefficients
42	MI	Myocardial Infraction
42	ML	Machine Learning
43	MPH	Maximum Plaque Height
44	MRI	Magnetic Resonance Imaging
45	MSE	Mean Squared Error
46	NASCET	North American Symptomatic Carotid Endarterectomy Trial
47	OCT	Optical Coherence Tomography
48	PA	Plaque Area
49	PTC	Plaque Tissue Characterization
50	PWV	Pulse Wave Velocity
51	ReLU	Rectified Linear Unit
52	RF	Resolution Factor
53	ROC	Receiver Operating Characteristics
54	SDL	Solo Deep Learning
55	SLFN	single-layer feed-forward network
56	SNR	signal-to-noise ratio
57	SOT	School of Thoughts
58	SPARC	Stroke Prevention and Atherosclerosis Research Centre (London, Canada)
59	TC	Total Cholesterol
60	TPA	Total Plaque Area
61	TPV	Total Plaque Volume
62	US	Ultrasound
63	WHO	World Health Organization

Symbol Table

SN	Symbol	Description of the symbols
1	L_{CE}	Cross Entropy-loss
2	L_{DSC}	Dice Similarity Coefficient-loss
3	m	‘ m ’ is index representing AI model number
4	n	‘ n ’ is for index representing image number in the database
5	$\bar{\mathcal{A}}_{ai}(m), \bar{\alpha}_{ai}(m)$	Mean estimated plaque area for all images using AI model ‘ m ’
6	$\mathcal{A}_{ai}(m, n), \alpha_{ai}(m, n)$	Estimated plaque area using AI model ‘ m ’ and image ‘ n ’
7	$\mathcal{A}_{gt}(n), \alpha_{gt}(n)$	GT plaque area for image ‘ n ’
8	$\bar{\mathcal{A}}_{gt}, \bar{\alpha}_{gt}$	Mean ground truth area for all images in the database
9	$FoM(m)$	Figure-of-Merit for model ‘ m ’

10	JI	Jaccard Index
11	DSC	Dice Similarity Coefficient
12	N_p	Sample size computed using power analysis
13	MoE	Margin-of-Error for power analysis
14	z	Z-score from standard z -table for power analysis
15	TP, TN	True Positive and True Negative
16	FP, FN	False Positive and False Negative
17	y_i	GT label
18	a_i	SoftMax classifier probability
19	Y_p	Ground truth image symbol for DSC computation
20	\widehat{Y}_p	AI-estimated image symbol for DSC computation
21	P	Total number of pixels in an image in x,y direction
22	K10	Cross-validation protocol with 90% training and 10% testing
23	1.5X, 2X,	Augmented databases of sizes 1.5 and 2 folds, respectively
24	2.5X, 3X	Augmented databases of sizes 2.5 and 3 folds, respectively
25	Area _{gt}	Plaque area computed using Ground Truth envelope
26	Area _{ai}	Plaque area computed using AI model
27	S_{FM}	Size of feature matrix
28	W	Input Shape
29	K	Filter Shape
30	P	Padding
31	S	Stride
Ten Deep Learning Segmentation Architectures		
32	UNet (CE)	'U' Shape based seg arch using CE-loss
33	SegNet (DSC)	Segm [#] . arch [%] . with reduced learning parameters using CE-loss
34	UNet+ (CE)	'U' Shape network with enhanced skip connections using CE-loss
35	SegNet-UNet (CE)	HDL model designed by fusing SegNet and UNet using CE-loss
36	SegNet-UNet+ (CE)	HDL model designed by fusing SegNet and UNet+ using CE-loss
37	UNet (DSC)	'U' Shape based segm. Arch. using DSC-loss
38	SegNet (DSC)	Segm [#] . arch [%] . with reduced learning parameters using DSC-loss
39	UNet+ (DSC)	'U' Shape network with enhanced skip connections using DSC-loss
40	SegNet-UNet (DSC)	HDL model designed by fusing SegNet and UNet using DSC-loss
41	SegNet-UNet+ (DSC)	HDL model designed by fusing SegNet and UNet+ using DSC-loss

#Segmentation; %Architecture