

# **Chapter 1**

## **Introduction and literature review**



Magnetic shape memory alloys (MSMAs) have garnered significant attention due to their substantial, rapid, and reversible magnetic field-induced strain (MFIS), making them highly suitable for applications in magnetic sensors and actuators [1–4]. As a result, MSMAs have emerged as a promising alternative to conventional shape memory alloys (SMAs), offering superior MFIS and faster response times, which are particularly advantageous for actuator applications [1]. Beyond their large MFIS, MSMAs exhibit a variety of extraordinary phenomena, including a pronounced magnetocaloric effect [5], giant magnetoresistance [6], anomalous thermal properties [7], exchange bias effect [8], spin-glass behavior [9], strain glass behavior [10], skyrmions [11], giant Hall effect [12], and anomalous Nernst effect [13], all of which hold considerable promise for technological applications. The present thesis investigates the structural, magnetic, magnetocaloric, and transport properties of prominent MSMAs, including  $\text{Ni}_2\text{Mn}_{1.4}\text{In}_{0.6}$ ,  $\text{Ni}_{1.9}\text{Pt}_{0.1}\text{Mn}_{1.4}\text{In}_{0.6}$ , and  $\text{Ni}_{50}\text{Mn}_{34}\text{In}_{16-x}\text{Al}_x$  ( $x=0.8$ ), as well as the related hexagonal PtMnGa system.

## 1.1 Shape memory alloy

A SMA is a type of material that has the ability to “remember” its original shape and return to it when exposed to specific stimuli, such as thermomechanical or magnetic changes. This phenomenon is referred to as the shape memory effect (SME). In 1932, Arne Ölander discovered SMAs and observed their unique ability to return to a pre-defined shape when subjected to heating [14]. Later, in 1941, scientist Vernon was the first to use the term “shape memory” to describe his polymeric material [15]. Over time, the concept of shape memory was extended to metallic alloys, leading to the development of modern SMAs. The practical significance of SMAs became clear in 1962 when W. Buehler and F. Wang demonstrated the SME in a nickel-titanium (NiTi) alloy [16, 17], which later became widely known as Nitinol, a name derived from “NiTi” and the Naval Ordnance Laboratory. Since this discovery, the use of SMAs has expanded rapidly, finding widespread applications across various fields, including structural materials and composites [18], the automotive industry [19, 20], aerospace [21–24], mini actuators and microelectromechanical systems [25, 26], robotics [27, 28], and biomedical applications [29, 30]. Among

these, NiTi-based SMAs are the most extensively researched and widely used, owing to their outstanding performance and reliability [31].

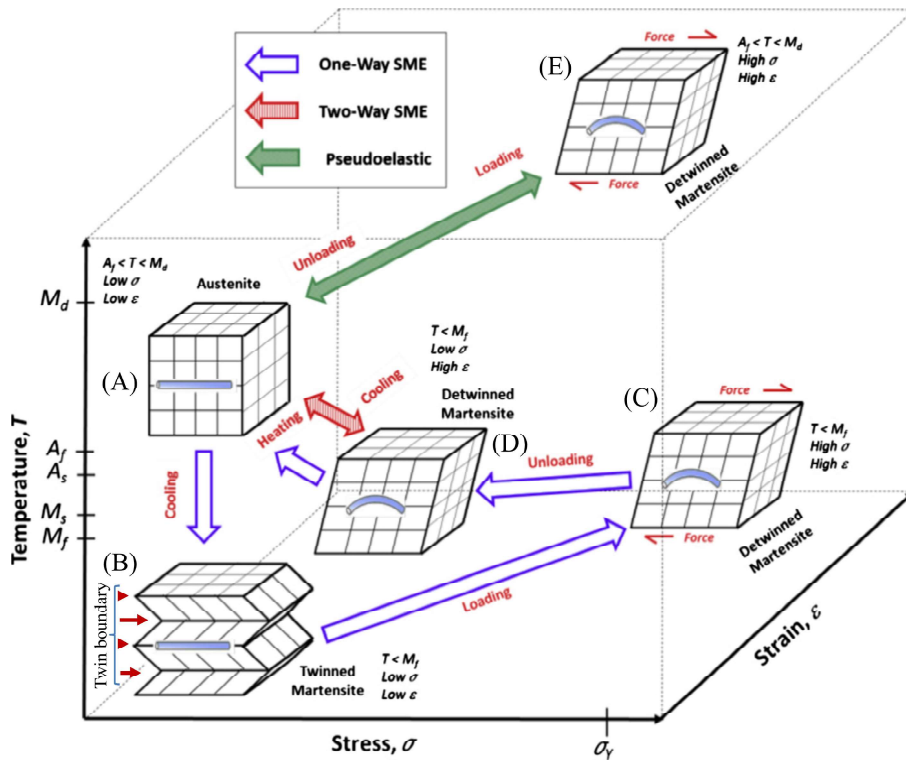


Figure 1.1: Phase transformations in shape memory alloy (SMA) [32].

In practice, SME is driven by a reversible phase transformation known as the martensite transformation (for further details, see Section 1.2). During this transformation, SMAs can exist in two distinct phases (named as, austenite and martensite), with three different crystal phases: twinned martensite, detwinned martensite, and austenite [33, 34] (Fig. 1.1). The austenite phase is stable at higher temperatures, while the martensite phase is stable at lower temperatures. As the SMA cools, the transformation starts from austenite to martensite at the martensite start temperature ( $M_s$ ) and completes when the martensite finish temperature ( $M_f$ ) is reached [16]. Upon heating, the material begins to revert from martensite to the austenite phase. The austenite-start temperature ( $A_s$ ) marks the beginning of this transformation, while the austenite-finish temperature ( $A_f$ ) indicates when the transformation is complete. When an SMA is heated beyond the  $A_s$ , it starts to distort and transform back into the austenite phase, recovering its original shape. This process can occur even under significant applied loads, resulting in high actuation energy densities [35].

The maximum temperature at which martensite can no longer be stress-induced is known as the martensite-deformation temperature ( $M_d$ ). Above this temperature, the SMA behaves like a conventional metallic material and becomes permanently deformed [36]. The shape-changing effects are categorized into three distinct shape-memory characteristics, as detailed below:

**(1) One-way shape memory effect:** A one-way shape memory alloy (OWSMA) retains its deformed state after the external force is removed but recovers its original shape upon heating. This transformation is depicted in Fig. 1.1, illustrating the sequence from state A to D and back to A. When the austenite phase (state A) is cooled below  $M_s$ , it transforms into a twinned martensite phase. In the twinned martensite phase, variants with different crystallographic orientations coexist, as shown in state B. Twinning helps to accommodate strain energy in the martensite while maintaining an undistorted interface between the martensite variant and the parent phase. This undistorted interface is known as the invariant or habit plane. Applying an external load to one of the martensite variants in state B causes the variants to align along the load direction through twin boundary motion, producing a detwinned martensite state (state C) with significant shear strain. Upon removal of the load, state C does not revert to the twinned state. However, heating above  $A_f$  restores state C to its original austenite phase (state A).

**(2) Two-way shape memory effect:** In contrast to the one-way effect, a two-way shape memory alloy (TWSMA) can return to its original shape at both high and low temperatures. The transformation between states A and D is shown in Fig. 1.1. However, TWSMAs are less frequently used in commercial applications due to the need for extensive “training” and their generally lower recovery strain about half of that exhibited by OWSMAs of the same material [37, 38]. Moreover, their strain performance tends to deteriorate rapidly, particularly at higher temperatures [39].

**(3) Pseudoelasticity (PE) or Superelasticity (SE):** At temperatures between  $A_f$  and  $M_d$ , the SMA recovers its original shape upon the application of mechanical loading, without the need for thermal activation. This pseudoelastic behavior is shown between states A

and E in Fig. 1.1.

## 1.2 Martensite transformation

Martensite transformation (MT) is a solid-state phase transformation that occurs between two solid phases: the high-temperature phase, called austenite, and the low-temperature phase, known as martensite. In austenite, the crystal structure is typically cubic, whereas martensite has a more complex, lower-symmetry structure, such as monoclinic/tetragonal/orthorhombic. For example, in the Ni-Mn-based alloy, the cubic austenite phase transforms into a tetragonal martensite phase, characterized by the  $c/a$  ratio (referred to as tetragonality, where  $c$  and  $a$  are lattice parameters) [40]. This transformation is diffusion-

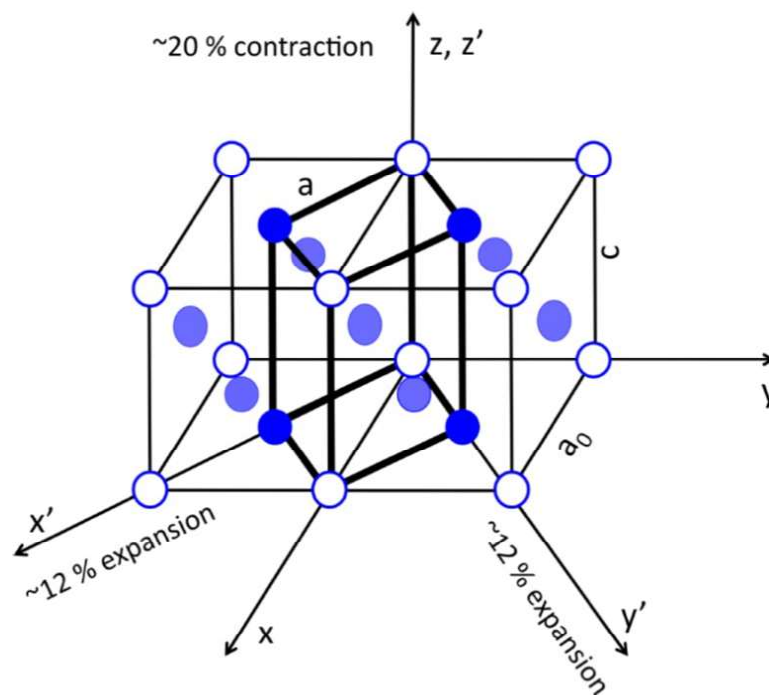


Figure 1.2: A schematic diagram of face-centered cubic (FCC) austenite to body-centered tetragonal (BCT) martensite transformation via Bain distortion [41].

less, as atomic diffusion is restricted as a result of both the low temperature and the rapid nature of the process, which can be close to the speed of sound in metals. Consequently, the parent and product phases retain identical chemical compositions. The Bain model is commonly used to describe martensite transformation in steels, where the face-centred cubic (FCC) to body-centered tetragonal (BCT) transformation occurs through a 20% con-

traction along the z-axis and a 12% expansion along the x- and y-axes [41] (as shown in Fig. 1.2). MT is classified as a first-order transformation, occurring through a nucleation and growth mechanism [42, 43]. Since MT involves a shape change, considerable strain develops around the martensite as it forms within the parent phase. Minimizing this strain is crucial for the nucleation and growth of the martensite. This is achieved either by introducing slip or by introducing twins, as shown in Figs. 1.3(b,c). These mechanisms are

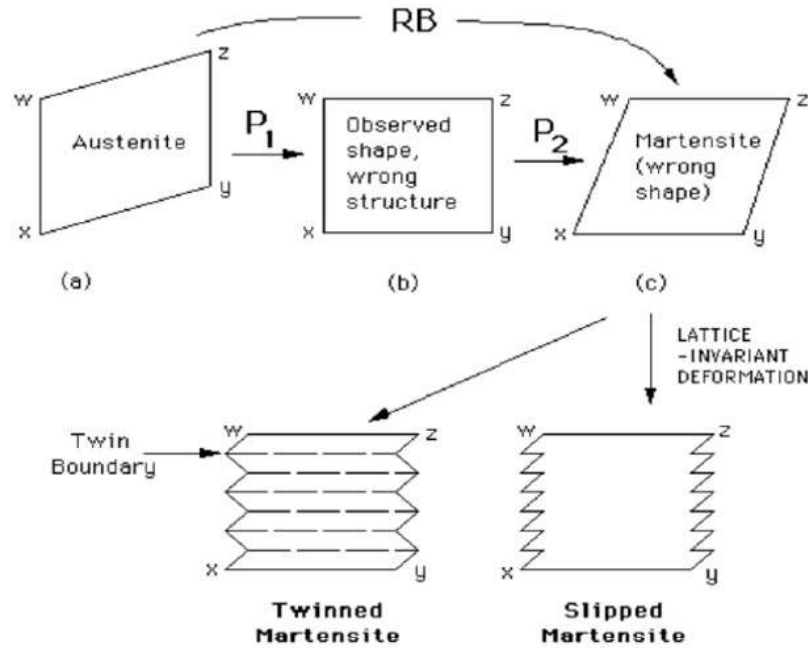


Figure 1.3: The diagram schematically illustrates the need for lattice invariant shear during martensite transformation: (a) shows the shape change during the transformation, while (b) and (c) depict how strain is accommodated by introducing slip (b) or twinning (c), respectively [41].

known as lattice invariant shear (LIS), as neither process alters the structure of the martensite. In other words, slip or twinning is a key element of MT. Twins or dislocations are frequently observed in martensites under electron microscopy, with the choice between slip or twinning depending on the specific alloy. Twinning is the dominant deformation mechanism in materials that possess low symmetry and large lattice parameters, as it effectively accommodates the strain induced during transformation. In twinned martensite, the interface between the martensite and austenite phases remains coherent, preserving the crystallographic alignment between them. This coherence is essential for the stability of the martensite phase and allows for efficient energy transfer during the transformation

process [44]. Moreover, the martensite transformation is generally athermal, characterized by rapid nucleation and growth, with the amount of transformed martensite being solely dependent on temperature [45]. However, in certain cases, the transformation can also be isothermal, depending on time [46, 47]. MT plays a crucial role in various applications, including steel hardening [48, 49], ceramic modification [47], and shape memory alloys [43]. The thermodynamic aspect of the martensite transformation is discussed, not-

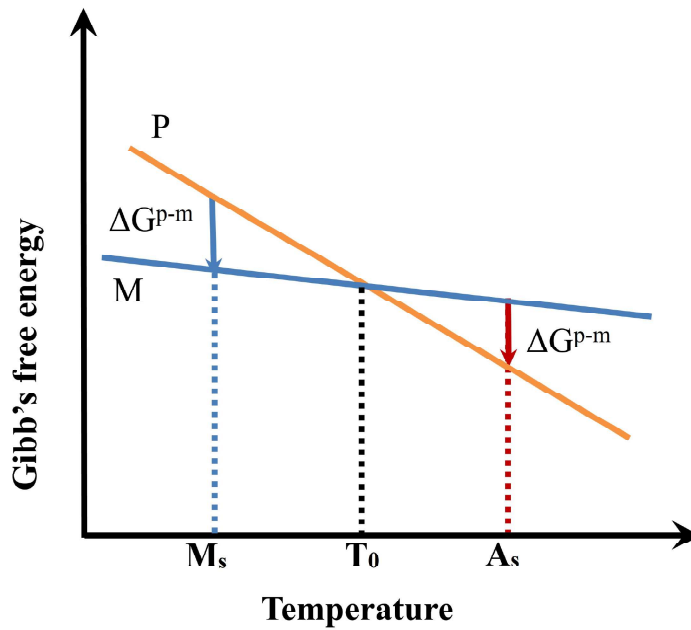


Figure 1.4: Schematic illustration of the free energy curves for both the parent (p) and martensite (m) phases [46].

ing that since MT does not involve a change in composition, the free energy curves of both the parent and martensite phases as a function of temperature are schematically depicted in Fig. 1.4. Here,  $T_0$  represents the thermodynamic equilibrium temperature between the two phases. The term  $\Delta G^{p-m} = G^m - G^p$  denotes the driving force for martensite nucleation, where  $G^m$  and  $G^p$  are the Gibbs free energies of the martensite and parent austenite phases, respectively [46]. When an SMA is cooled below a critical temperature, known as the martensite start temperature ( $M_s$ ), the austenite phase transforms into martensite. This transformation is reversible; upon heating above the austenitic start temperature ( $A_s$ ), the martensite reverts back to austenite. The specific temperatures, such as  $M_s$  and  $A_s$ , at which these transformations occur, are influenced by the alloy composition and processing

conditions.

### 1.3 Magnetic shape memory alloy

MSMAs represent an exciting class of smart materials that exhibit the ability to change shape in response to an applied magnetic field. This property, known as the magnetic shape memory effect (MSME), distinguishes MSMAs from conventional SMAs, which rely primarily on thermal or stress-induced phase transformations. MSMAs, also known as ferromagnetic shape memory alloys (FSMAs), are characterized by their ferromagnetic properties. They possess a magnetic moment that aligns with an external magnetic field. FSMAs can operate at higher frequencies, reaching up to 1 kHz, as their actuation energy is transmitted through magnetic fields, which are not affected by the slower heat transfer process [50]. The strain rate of FSMAs is comparable to that of magnetostrictive and piezoelectric active elements, while achieving strains as large as those of SMAs [39]. FSMAs can deliver the same specific power as SMAs but at higher frequencies [39]. The primary advantage of MSMAs is their ability to generate large strains (up to 10% in some cases) quickly and efficiently via magnetic activation, a process that is often faster and more controllable than thermal activation [3, 51–53]. FSMAs can achieve a maximum strain that is 32 times greater than the giant magnetostrictive material Terfenol-D ( $\text{TbDyFe}_2$ ), with the trade-off being a 46 times lower elastic modulus (stiffness) [51]. As such, FSMAs are uniquely suitable to fill the technological gap between SMAs and magnetostrictive materials [55]. Figures 1.5(a-c) present a schematic representation of the MSME. In Fig. 1.5(a), a twinned martensite phase is depicted in the absence of a magnetic field. In this state, the twin variants are aligned according to their crystallographic orientation, and the magnetic spins are oriented along the easy axis of magnetization. When a magnetic field is applied (e.g., along the easy magnetization axis of a twin variant) to the twinned martensite phase, as shown in Fig. 1.5(b), the magnetic spins try to align with the direction of the applied field. Two conditions arise based on the interplay between magnetocrystalline anisotropy (MCA) and twinning stress ( $\sigma_t$ ). Here,  $\sigma_t$  refers to the minimum stress or elastic energy required for twin boundary motion, while MCA, depicted in Fig. 1.5(d), is represented by

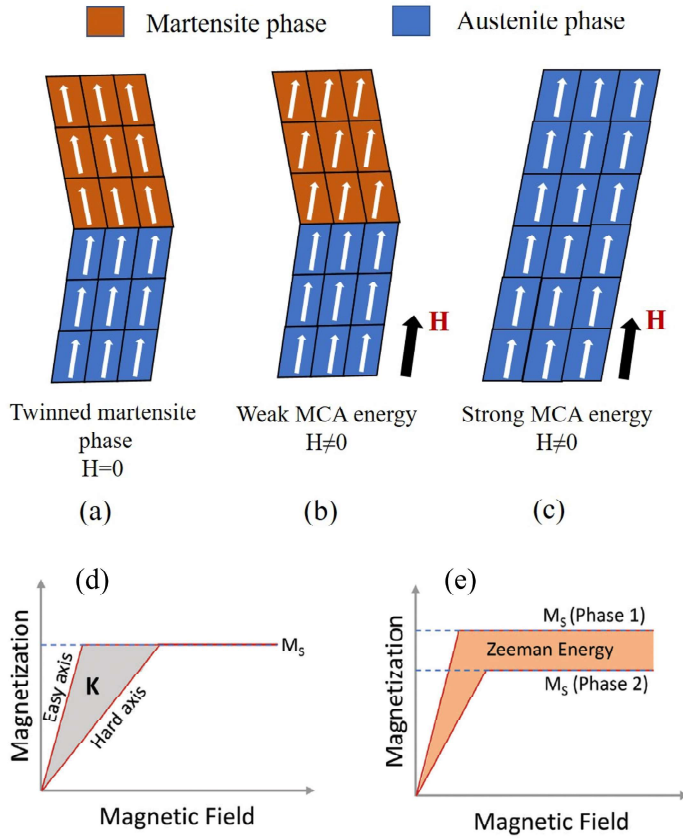


Figure 1.5: A schematic diagram illustrating the magnetic shape memory effect: (a) shows the martensite twin reorientation in the absence of a magnetic field (twinned martensite); (b) depicts the twin reorientation in the presence of a magnetic field with weak magnetocrystalline anisotropy; (c) shows the twin reorientation under a magnetic field with strong magnetocrystalline anisotropy (detwinned martensite); (d) represents the magnetocrystalline anisotropy; and (e) illustrates the difference in Zeeman energy between the austenite and martensite phases, which is responsible for the magnetic field-induced phase transformation (MFIPT) [54].

$K$ .

**Condition 1:** If  $K$ , the MCA energy of the twin variants, is smaller than the energy required for twin boundary motion ( $K < \sigma_t \epsilon_{max}$ ), where  $\epsilon_{max}$  is the maximum attainable strain, the magnetic spins align with the field direction. However, the twin variant retains its original crystallographic orientation, resulting in no net change in the macroscopic shape, as illustrated in Fig. 1.5(b).

**Condition 2:** If  $K > \sigma_t \epsilon_{max}$ , the twin boundary moves along with the magnetic spin reorientation in the applied field direction. The twinned martensite transforms into a detwinned state. This transformation results in a large magnetic field-induced strain (MFIS)

and a corresponding net change in the macroscopic shape, as illustrated in Fig. 1.5(c).

The presence of sufficiently strong MCA ( $K > \sigma_t \epsilon_{max}$ ) is the necessary condition for the MFIS. The difference between MCA energy and Zeeman energy of the adjacent martensite variants provides a driving force for twin boundary motion in the martensite phase. The Zeeman energy can be expressed by  $E_{Zeeman} = \mu_0 H \Delta M$ , where  $\mu_0 H$  is magnetic field and  $\Delta M$  is magnetization difference between the transformation phases (Fig. 1.5(e)). When the magnetic field is removed, the detwinned state does not spontaneously revert to the original twinned martensite state. To restore the twinned martensite state, an external stress ( $\sigma_{ext}$ ) must be applied perpendicular to the direction of the magnetic field. This external stress acts as a restoring force to recover the original shape. Therefore, the condition for achieving reversible magnetic field-induced strain (MFIS) can be expressed as  $K > (\sigma_t + \sigma_{ext}) \epsilon_{max}$ . It is important to note that the magnitude of  $\sigma_{ext}$  should not be so large as to impede the field-induced motion of the twin boundary. A critical value of external stress, referred to as the blocking stress, is required to ensure reversible MFIS.

## 1.4 Heusler alloys

Heusler alloys are a remarkable class of materials with distinctive properties and a wide range of applications. These alloys, named after the German mining engineer Friedrich Heusler who first discovered them in 1903, are especially notable for their ferromagnetism, despite being composed of non-magnetic elements [56]. Heusler alloys are classified into various types based on their crystal structures and constituent elements. The two most common categories are half-Heusler compounds (XYZ, with a 1:1:1 stoichiometric ratio) and full Heusler compounds (X<sub>2</sub>YZ, with a 2:1:1 stoichiometric ratio) [57]. In these formulas, X and Y represent transition metals, while Z is a p-block (main group) element. The elements that can serve as the primary constituents of Heusler alloys from the periodic table are shown in Fig. 1.6 [57]. The half-Heusler compound adopts a cubic crystal structure, specifically belonging to the space group  $F\bar{4}3m$ . This structure is characterized by the arrangement of three interpenetrating FCC sublattices, as depicted in Fig. 1.7(a). In

this type of material, the X atoms are positioned at the  $4c$  sites with coordinates  $(\frac{1}{4}, \frac{1}{4}, \frac{1}{4})$ , while the Y atoms occupy the  $4b$  sites with coordinates  $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ , and the Z atoms are located at the  $4a$  sites with the coordinates  $(0, 0, 0)$ . These positions are in accordance with the Wyckoff positions described for the respective atoms [57]. The unit cell of the half-Heusler compound, as shown in Fig. 1.7(b). The full Heusler compound crystallizes in the cubic space group  $Fm\bar{3}m$ . It consists of four interpenetrating FCC sublattices, as shown in Fig.1.7(c). As depicted in Fig. 1.7(d) the X atoms, typically transition metals, occupy tetrahedral sites within the crystal structure. These sites correspond to Wyckoff positions  $8c$  with coordinates  $(\frac{3}{4}, \frac{3}{4}, \frac{3}{4})$ . The Y atoms are located at the  $4b$  position, with coordinates  $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ , while the Z atoms occupy the  $4a$  position, with the coordinates  $(0, 0, 0)$ .

**X<sub>2</sub>YZ Heusler compounds**

H 2.20																	He				
Li 0.98	Be 1.57															B 2.04	C 2.55	N 3.04	O 3.44	F 3.98	Ne
Na 0.93	Mg 1.31															Al 1.61	Si 1.90	P 2.19	S 2.58	Cl 3.16	Ar
K 0.82	Ca 1.00	Sc 1.36	Ti 1.54	V 1.63	Cr 1.66	Mn 1.55	Fe 1.83	Co 1.88	Ni 1.91	Cu 1.90	Zn 1.65	Ga 1.81	Ge 2.01	As 2.18	Se 2.55	Br 2.96	Kr 3.00				
Rb 0.82	Sr 0.95	Y 1.22	Zr 1.33	Nb 1.60	Mo 2.16	Tc 1.90	Ru 2.20	Rh 2.28	Pd 2.20	Ag 1.93	Cd 1.69	In 1.78	Sn 1.96	Sb 2.05	Te 2.10	I 2.66	Xe 2.60				
Cs 0.79	Ba 0.89	Hf 1.30	Ta 1.50	W 1.70	Re 1.90	Os 2.20	Ir 2.20	Pt 2.20	Au 2.40	Hg 1.90	Tl 1.80	Pb 1.80	Bi 1.90	Po 2.00	At 2.20	Rn					
Fr 0.70	Ra 0.90																				
		La 1.10	Ce 1.12	Pr 1.13	Nd 1.14	Pm 1.13	Sm 1.17	Eu 1.20	Gd 1.20	Tb 1.10	Dy 1.22	Ho 1.23	Er 1.24	Tm 1.25	Yb 1.10	Lu 1.27					
		Ac 1.10	Th 1.30	Pa 1.50	U 1.70	Np 1.30	Pu 1.28	Am 1.13	Cm 1.28	Bk 1.30	Cf 1.30	Es 1.30	Fm 1.30	Md 1.30	No 1.30	Lr 1.30					

Figure 1.6: Periodic table of elements: A wide range of Heusler compounds can be formed by combining elements according to the color-coded scheme [57].

The magnetic properties of some Heusler compounds follow the Slater-Pauling rule, which is expressed as  $m_{xyz} = N_v - 24$ , where  $m$  represents the magnetic moment and  $N_v$  refers to the number of valence electrons [58]. As a result, a full Heusler compound with 24 valence electrons will display non-magnetic and superconducting characteristics. Similarly, the half-Heusler compound adheres to a variant of the Slater-Pauling rule, expressed as  $m_{xyz} = N_v - 18$ . For instance, TiCoSb, with 18 valence electrons, behaves as a diamagnetic semiconductor [57, 58]. By modifying the number of valence electrons, these materials can transform into ferromagnetic materials, such as VCoSb [57]. The flexibility

of Heusler compounds arises from their wide range of tunable band gaps, enabling them to exhibit properties such as topological insulators, metals, semimetals, half-metals, semiconductors, spin-gapless semiconductors, etc [59]. Ni-Mn-based Heusler compounds are a class of materials that exhibit remarkable scientific phenomena and fundamental properties, including superelasticity, giant caloric effects (magnetocaloric, barocaloric, and elastocaloric) [60, 61], spin glass behavior [9], large magnetoresistance [62], significant perpendicular magnetic anisotropy [63], large canting angles between magnetic moments [64], and exchange bias effects [65]. These properties make them highly promising for innovative applications in energy conversion, as well as in sensor and actuator devices [66]. These properties of Heusler compounds are linked to a first-order magnetostructural phase transition, where the high-temperature cubic austenite  $L2_1$  phase transforms into a low-temperature martensite phase. The martensite phase at lower temperatures can vary in structure, depending on the composition, and may be tetragonal, orthorhombic, or monoclinic [57].

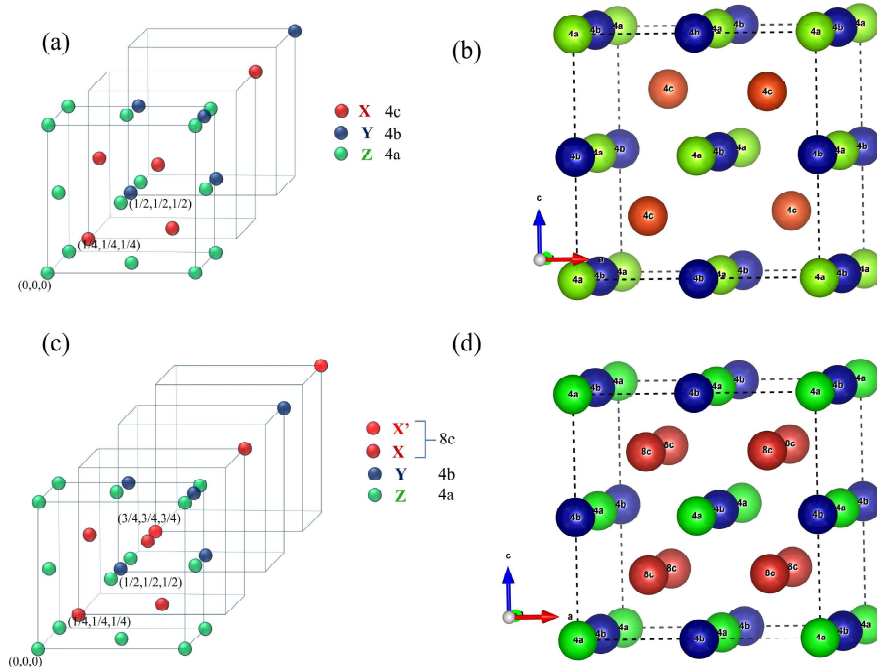


Figure 1.7: (a) Three interpenetrating face-centered cubic (FCC) sublattices. (b) The unit cell of half Heusler compound. (c) Four interpenetrating face-centered cubic (FCC) sublattices. (d) The unit cell of the full Heusler compound.

## 1.5 Magnetocaloric effect

The magnetocaloric effect (MCE) is the temperature change (heating or cooling) observed in a magnetic material when exposed to a changing magnetic field ( $\Delta H$ ) under adiabatic conditions. An illustration of MCE is shown in Fig. 1.8. MCE was first discovered by E.

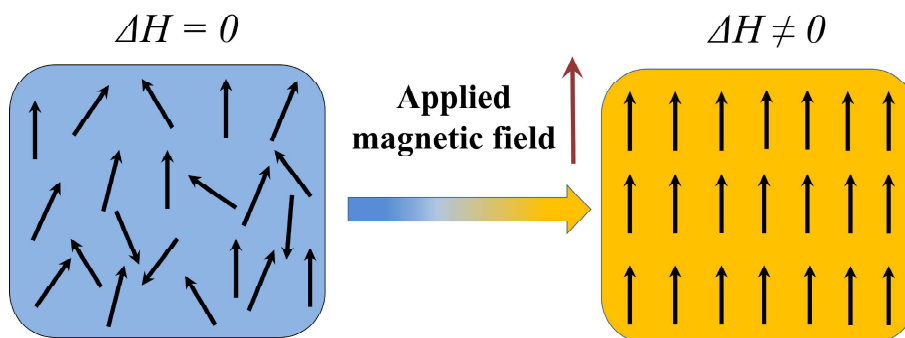


Figure 1.8: A schematic representation of magnetocaloric effect.

Warburg in 1881. Weiss and Piccard (1917) later observed the magnetocaloric effect at the ferromagnetic-to-paramagnetic second-order phase transition in elemental Ni [67, 68]. In 1926, Debye [69], and in 1927, Giauque [70], independently proposed the idea of achieving extremely low temperatures using adiabatic demagnetization as a cooling technique. This concept was successfully realized in 1933 when Giauque and MacDougall [71] conducted experiments that led to the development of the first functional adiabatic demagnetization refrigerator, capable of reaching temperatures as low as 0.25 K. Giauque was awarded the Nobel Prize in Physics in 1949 for his pioneering work on magnetic cooling. From 1933 to 1997, several advancements were made in utilizing the MCE for cooling applications [72, 73]. However, two major breakthroughs occurred in 1997. The first was a significant milestone achieved by Zimm et al. [74], who demonstrated that magnetic refrigeration is a practical and competitive cooling technology near room temperature, offering potential energy savings of up to 30%. The second breakthrough was the discovery of the giant MCE in  $\text{Gd}_5(\text{Si}_2\text{Ge}_2)$  [75]. These two milestones sparked global interest among scientists and companies, leading to the development of new materials suitable for room-temperature applications and innovative magnetic refrigerator designs. The pursuit of higher-efficiency cooling and environmentally friendly magnetic refrigeration based on

the MCE has driven intensive research efforts. Beyond its practical applications, studies on the MCE also provide valuable insights into the nature of magnetic phase transitions [73, 76]. Most studies on the MCE have typically focused on calculating the isothermal entropy change ( $\Delta S_{iso}$ ) using indirect methods. However, for first-order phase transitions with thermal hysteresis,  $\Delta S_{iso}$  can often be overestimated, requiring additional considerations [77]. Direct measurements of MCE provide adiabatic temperature change ( $\Delta T_{ad}$ ), a key parameter for magnetic refrigeration. Moreover, direct measurements more accurately reflect the actual processes used in practical applications. There are also other types of caloric effects based on the applied external fields, known as the “electrocaloric effect (ECE)” and the “mechanocaloric effect (mCE)”. The ECE refers to the temperature change in materials under the application of an electric field in adiabatic conditions, while the mCE involves a temperature change in materials subjected to uniaxial stress or uniform pressure in adiabatic conditions. In practical applications, the efficiency of these materials (magnetocaloric, electrocaloric, and mechanocaloric materials) is characterized by the coefficient of performance (COP). The material-specific COP, denoted as  $COP_{mat}$  ( $\eta$ ), is defined as the ratio of latent heat ( $Q$ ) to the input work ( $W$ ) required to expel that heat. Carnot expressed  $\eta$  in terms of the hot ( $T_h$ ) and cold temperatures ( $T_c$ ), as described in [78]:

$$\eta = \frac{T_c}{T_h - T_c} \quad (1.1)$$

Another important parameter is the specific heat of the materials (refrigerants). If a material has a high specific heat capacity, it requires more heat to increase its temperature and also releases more heat when cooling by the same temperature difference. To assess its effectiveness and suitability for cooling devices, researchers have introduced a dimensionless quantity,  $\gamma$ , defined as [78]:

$$\gamma = \frac{\text{latent heat}}{\text{specific heat}} \quad (1.2)$$

There are two cases depending on the value of  $\gamma$ . In the first case, if  $\gamma$  is high, heat can be easily extracted from the material using a heat exchanger. In the second case, if  $\gamma$  is

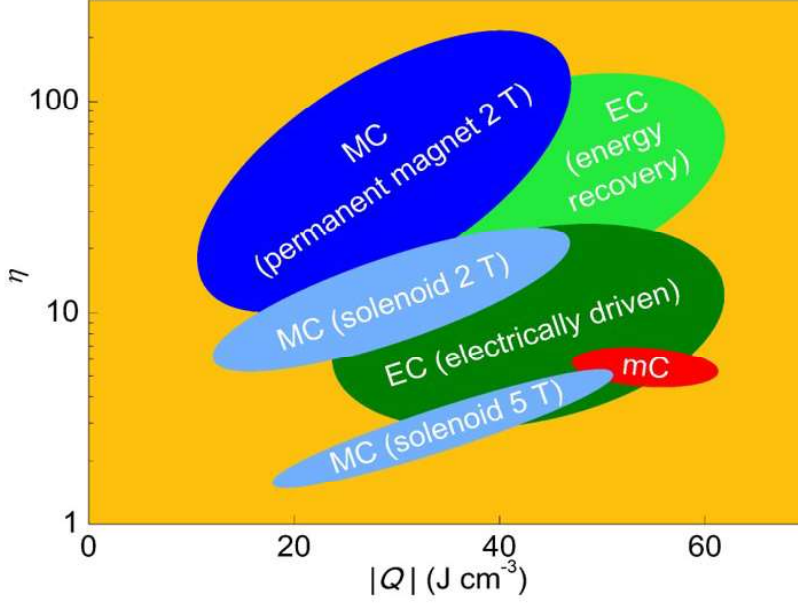


Figure 1.9: Comparison of the efficiency of various caloric materials (magnetocaloric, electrocaloric, and mechanocaloric) [5].

low ( $\gamma \leq 1$ ), a more complex heat exchanger is required to extract heat from the material in cooling devices. For magnetocaloric materials, the efficiency ( $\eta$ ) is higher compared to other caloric materials, as shown in Fig. 1.9. However, their  $\gamma$  value is lower than that of other materials, implying that a more complex heat exchanger is necessary to extract heat. Based on this analysis of the parameters, magnetocaloric materials are considered the best choice for cooling applications.

### 1.5.1 Thermodynamics of the magnetocaloric effect

The magnetocaloric effect originates in the ordering or randomization of the magnetic spin in magnetic materials. At constant pressure, the total entropy of solid magnetic material is the sum of magnetic ( $S_{mag}$ ), lattice ( $S_{lat}$ ) and electronic entropy ( $S_{elec}$ ). Total entropy as a function of field and temperature can be written as:

$$S_{tot}(H, T) = S_{mag}(H, T) + S_{lat}(H, T) + S_{elec}(H, T) \quad (1.3)$$

Since magnetic entropy is due to the randomness of magnetic spin and after the application of the magnetic field, magnetic spin aligns in the direction of the magnetic field, and hence

magnetic entropy decreases. This decrease in magnetic entropy directly reflects on the total entropy if the field is applied in the isothermal condition since lattice entropy and electronic entropy are generally independent of the magnetic field. In adiabatic condition, total entropy should be constant ( $\Delta S_{tot} = 0$ ), and therefore, change in magnetic entropy ( $\Delta S_M$  or  $\Delta S_{iso}$  after the application of the magnetic field would be compensated by the combined effect of lattice entropy and electronic entropy. Thus, with the decrease of the magnetic entropy, there would be an increase in lattice entropy in adiabatic condition and, hence, the temperature of the materials. This increase in temperature is called  $\Delta T_{ad}$  of the materials. Fig. 1.10 illustrates the variation of entropy ( $S$ ) with temperature ( $T$ ) for MCE, highlighting a close relationship between  $\Delta T_{ad}$  and  $\Delta S_M$  in the graph. In this section,

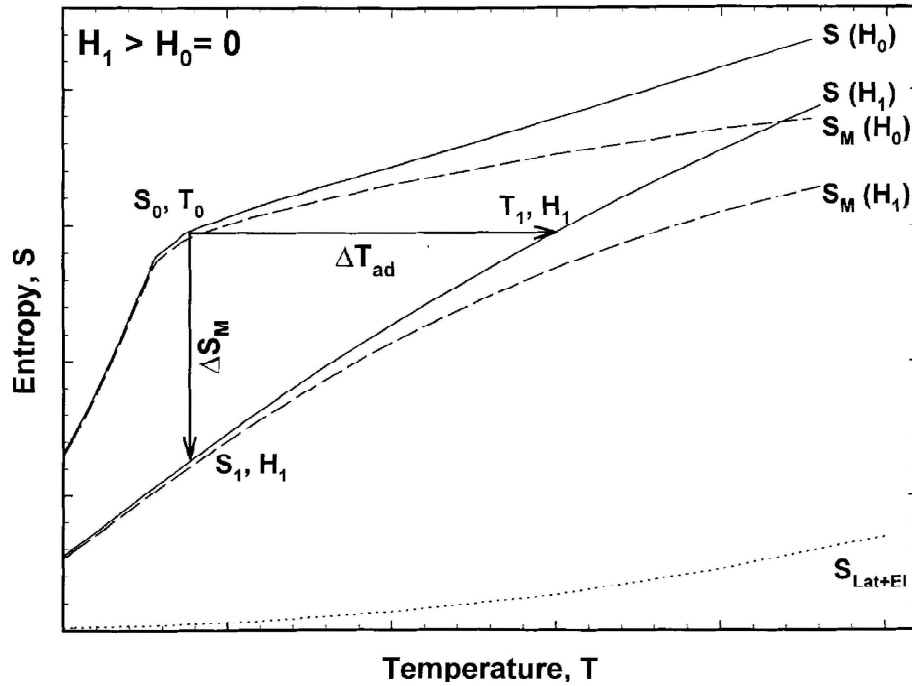


Figure 1.10: Illustration of magnetocaloric effect. It represents the total entropy change as a function of entropy change and adiabatic temperature change ( $\Delta T_{ad}$ ) when magnetic field changes from  $H_0$  to  $H_1$  [72].

we will derive a relation which connects the thermodynamic parameters to the magnetic parameter. A thermodynamic system is characterized by a set of thermodynamic variables such as pressure ( $p$ ), temperature ( $T$ ), internal energy ( $U$ ), Gibbs free energy ( $G$ ), volume ( $V$ ) etc. From the first law of thermodynamics, heat given to the magnetic system will increase the internal energy of the system, the system will work on the environment, and

magnetization of the system decreases due to the addition of the energy ( $-\mu_0 HdM$ ) [79].

Hence,

$$dQ = dU + pdV - \mu_0 HdM \quad (1.4)$$

from the second law of thermodynamics,

$$dQ = TdS \quad (1.5)$$

comparing Eq. 1.4 and Eq. 1.5, we get-

$$TdS = dU + pdV - \mu_0 HdM \quad (1.6)$$

rearranging the Eq. 1.6, the change in  $U$  can be written as

$$dU = TdS - pdV + \mu_0 HdM \quad (1.7)$$

Internal energy in Eq. 1.7 contains the entropy term, and entropy is neither directly measured nor an easily controllable parameter. So it is required to express the energy in the form of measurable and easily controllable parameters. Gibbs free energy is expressed as-

$$G = U + pV - TS - \mu_0 HdM \quad (1.8)$$

differentiating Eq. 1.8 and replacing the  $dU$  from equation Eq. 1.7, the differential of Gibbs free energy is expressed as-

$$dG = dU + pdV + Vdp - (TdS + SdT) - (\mu_0 HdM + \mu_0 MdH) \quad (1.9)$$

$$dG = TdS - pdV + \mu_0 HdM + pdV + Vdp - TdS - SdT - \mu_0 HdM - \mu_0 MdH \quad (1.10)$$

$$dG = -SdT + Vdp - \mu_0 MdH \quad (1.11)$$

We can get different order parameters like  $S$ ,  $V$  and  $M$  by taking the partial differential of Eq. 1.11 with respect to  $T$ ,  $p$  and  $H$ , respectively. Hence we get,

$$S = - \left( \frac{\partial G}{\partial T} \right)_{P,H} \quad (1.12)$$

$$V = \left( \frac{\partial G}{\partial P} \right)_{T,H} \quad (1.13)$$

$$M = - \left( \frac{\partial G}{\mu_0 \partial H} \right)_{P,T} \quad (1.14)$$

From the partial differential theorem of calculus, it is known that second-order partial derivative results in the same value independent of the sequence of the variables. Differentiate partially  $S$  and  $M$  with respect to  $H$ ,  $T$  respectively and using the above theorem, we get,

$$\left( \frac{\partial S}{\partial H} \right)_T = \mu_0 \left( \frac{\partial M}{\partial T} \right)_H \quad (1.15)$$

Eq. 1.15 is called Maxwell's relation, and after integrating this equation within the limit initial field ( $H_i$ ) to the final field ( $H_f$ ), we get,

$$\Delta S_{iso}(T, H) = \mu_0 \int_{H_i}^{H_f} \left( \frac{\partial M}{\partial T} \right)_{P,H} dH \quad (1.16)$$

From Eq. 1.16, we can see that the isothermal entropy change directly depends on the field change and the rate of magnetization change with temperature. Since total entropy is a function of field and temperature. Therefore, the differential form of  $S_{total}$  is as follows:

$$dS = \left( \frac{\partial S}{\partial T} \right)_{P,H} dT + \left( \frac{\partial S}{\partial H} \right)_{T,H} dH \quad (1.17)$$

for adiabatic process;  $dS = 0$ , from Eq. 1.17

$$0 = \left( \frac{\partial S}{\partial T} \right)_{P,H} dT + \left( \frac{\partial S}{\partial H} \right)_{T,H} dH \quad (1.18)$$

Heat capacity ( $C$ ) is defined as the heat required to increase the temperature of the material by 1 K. Mathematically, it can be written as-

$$C = \frac{\partial Q}{\partial T} \quad (1.19)$$

using Eq. 1.5 in Eq. 1.19, we get

$$C = T \frac{\partial S}{\partial T} \quad (1.20)$$

Eq. 1.18 can be simplified by using Eq. 1.15 and Eq. 1.20

$$dT = -\mu_0 \frac{T}{C_{P,H}} \left( \frac{\partial M}{\partial T} \right)_{P,H} dH \quad (1.21)$$

Now, temperature change after applying the magnetic field in adiabatic condition can be determined by integrating the Eq. 1.21 from  $H_i$  to  $H_f$ . Hence,

$$\Delta T_{ad} = -\mu_0 \int_{H_i}^{H_f} \frac{T}{C_{P,H}} \left( \frac{\partial M}{\partial T} \right)_{P,H} dH \quad (1.22)$$

These two are important parameters ( $\Delta S_{iso}$  and  $\Delta T_{ad}$ ) which determines the MCE. From both Eq. 1.16 and Eq. 1.22, it is clear that the value of MCE will be large if a change of magnetic field and the rate of change of magnetization with temperature are large. For the fixed value of the change in the field,  $\left( \frac{\partial M}{\partial T} \right)$ ,  $|\Delta T_{ad}(T, H)|$  will be larger as temperature increases. Materials having a lower value of heat capacity will result in a larger value of  $|\Delta T_{ad}(T, H)|$ .

## 1.5.2 Magnetocaloric effect at phase transitions

Based on the magnetic phase transition of materials and the sign (positive or negative) of  $\Delta T_{ad}$  and  $\Delta S_{iso}$ , the MCE can be classified into two types: “conventional” and “inverse” MCE.

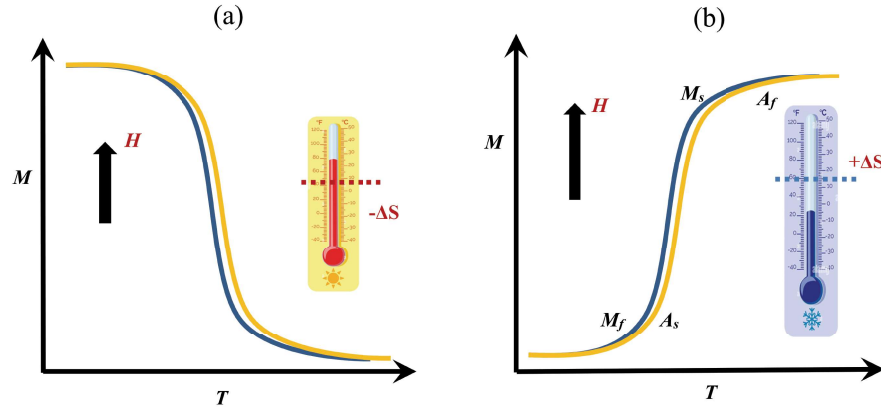


Figure 1.11: (a) Magnetization as a function of temperature [ $M(T)$ ] at a constant field ( $H$ ) (a) demonstrating conventional caloric effect. (b) demonstrating inverse caloric effect.

### 1.5.2.1 Conventional magnetocaloric effect

In this effect, the material exhibits a decrease in magnetic entropy and a corresponding increase in temperature when subjected to a driving magnetic field under adiabatic conditions (i.e.,  $\Delta T_{ad} > 0$ ,  $\Delta S_{iso} < 0$ ). This phenomenon occurs in materials where magnetization increases as the temperature decreases, particularly during a phase transition such as the paramagnetic-to-ferromagnetic transition. Examples of materials exhibiting this effect near their Curie temperature include Gd [80],  $Gd_5Si_2Ge_2$  [75],  $MnAs_{1-x}Sb_x$  [81], and  $Ni_{55}Mn_{20}Ga_{25}$  [82], etc. A schematic representation of the magnetization vs. temperature curve is shown in Fig. 1.11(a).

### 1.5.2.2 Inverse magnetocaloric effect

In this effect, the material exhibits an increase in magnetic entropy and a corresponding decrease in temperature when subjected to a driving magnetic field under adiabatic conditions (i.e.,  $\Delta T_{ad} < 0$ ,  $\Delta S_{iso} > 0$ ). This phenomenon occurs in materials where magnetization decreases as the temperature decreases at their magnetostructural phase transition. Examples of materials exhibiting this effect near their martensite phase transition include  $Ni_{50}Mn_{37}Sn_{13}$  [83],  $Ni_{45.2}Mn_{36.7}In_{13}Co_{5.1}$  [60],  $Fe_{49}Rh_{51}$  [84], etc. A schematic representation of the magnetization vs. temperature curve is shown in Fig. 1.11(b).

### 1.5.3 Measurement of magnetocaloric effect

#### 1.5.3.1 Direct measurements

The direct measurement of MCE involves determining the temperature change of a material when exposed to a finite magnetic field under adiabatic conditions. For such measurements, the temperature change of the sample can be monitored using two primary techniques:

**1. Contact techniques:** These require direct thermal contact between the sample and the temperature sensor, such as thermocouples or thermistors. They are well-suited for applications involving strong magnetic fields and significant temperature changes [85–87].

**2. Non-contact techniques:** Based on the thermoacoustic principle, these methods detect exponentially decaying pressure waves, generated by a sample with a periodically varying surface temperature, using a sensitive microphone. They are ideal for scenarios involving weak magnetic fields and small temperature variations [75, 88]. Direct measurements require adiabatic conditions, which necessitate a sufficiently rapid change in the magnetic field. Two commonly used methods for such measurements are:

a. Changing the magnetic field while keeping the sample fixed, such as by charging or discharging the magnet [86].

b. Moving the sample in and out of a constant magnetic field [87].

Electromagnets can generate magnetic field strengths of up to 2 T, while permanent and superconducting magnets typically operate within a range of 0.1–10 T [75]. In this thesis, the  $\Delta T_{ad}$  of a Gd sample was measured directly using a contact technique. The sample's surface was connected to a PT100 sensor in homemade setups. In these systems, electromagnets produced magnetic fields up to 1 T, while a permanent magnet generated fields up to 0.5 T.

### 1.5.3.2 Indirect measurements

In indirect measurements, the MCE parameter ( $\Delta T_{ad}$ ) is indirectly determined through thermodynamic analysis of magnetization data and heat capacity measurements, specifically by calculating  $\Delta S_{iso}$ . The indirect measurement of the MCE can be classified into two types of methods.

1. **Maxwell method:** In this method, the value of  $\Delta S_{iso}$  is obtained by integrating the Maxwell equation within appropriate variable limits. The Maxwell equation is expressed as [89]:

$$\left(\frac{\partial S}{\partial H}\right)_T = \left(\frac{\partial M}{\partial T}\right)_H \quad (1.23)$$

where  $S$  represents entropy,  $T$  is temperature,  $H$  denotes the magnetic field, and  $M$  is magnetization. This approach is particularly effective for second-order transitions or first-order transitions with minimal hysteresis.

2. **Clausius-Clapeyron method:** This method is applicable exclusively to first-order transitions, particularly for samples with strong hysteresis [89]. The value of the MCE parameter can be determined using the Clausius-Clapeyron relation, expressed as:

$$\Delta S_{iso} = -\Delta M \left(\frac{dH}{dT}\right) \quad (1.24)$$

where  $\Delta M$  is the change in magnetization, and  $\frac{dH}{dT}$  represents the slope of the magnetic field vs temperature curve.

### 1.5.3.3 Quasidirect methods:

In this method, the  $\Delta S_{iso}$  is determined using heat capacity [90] and heat flux measurements [91]. This approach is particularly sensitive to first-order transitions. The two measurement methods are described below:

1. **Heat-capacity method:** The value of  $\Delta S_{iso}$  is calculated by measuring the temperature-

dependent heat capacity under varying magnetic fields, expressed as:

$$\Delta S_{iso}(T, H_2 - H_1) = \int_{T_1}^{T_2} [C(T, H_2) - C(T, H_1)] \frac{dT}{T} \quad (1.25)$$

2. **Heat-flux method:** The value of  $\Delta S_{iso}$  is determined by measuring the temperature-dependent heat flux as a function of the changing magnetic field, expressed as:

$$\Delta S_{iso}(T, H_2 - H_1) = \int_{T_1}^{T_2} \left[ \frac{dQ}{dT}(T, H_2) - \frac{dQ}{dT}(T, H_1) \right] \frac{dT}{T} \quad (1.26)$$

Here,  $H_1$  and  $H_2$  represent the initial and final magnetic fields,  $T_1$  is the temperature far from the transition region,  $T_2$  is the final temperature, and  $\frac{dQ}{dT}$  denotes the heat flux. The value of  $\Delta T_{ad}$  can be calculated using Eq. 1.22.

#### 1.5.4 Magnetic refrigeration process

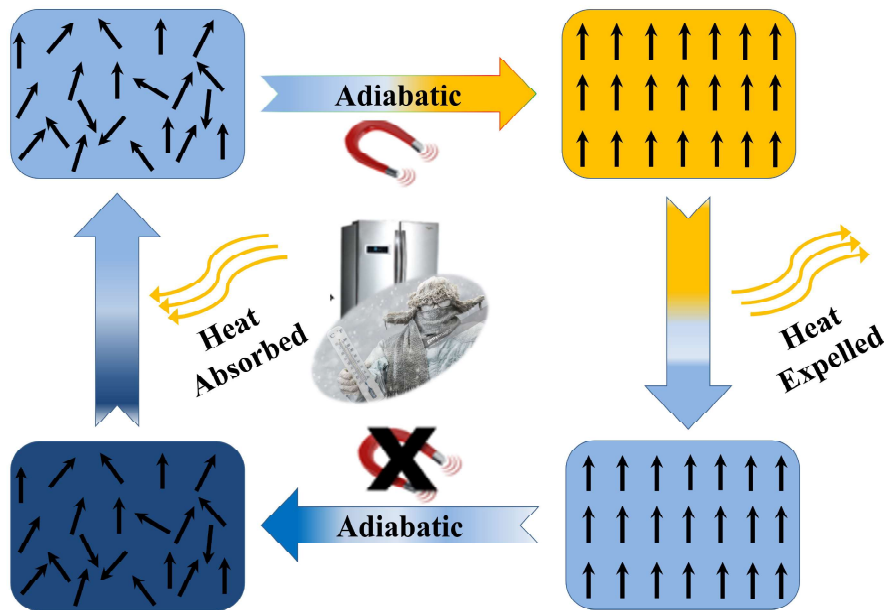


Figure 1.12: A schematic illustration of the four stages of the magnetic refrigeration cycle.

Magnetic refrigeration is an eco-friendly, efficient, and energy-saving cooling technology. It uses magnetic materials as refrigerants and a magnet to create a magnetic field. A heat transfer fluid, such as water or ethanol, transfers heat between the magnetic material and the system being cooled. The first magnetic refrigerator was developed at Ames Labo-

ratory, University of Iowa [92]. This prototype used gadolinium (Gd) as the magnetic material, a magnetic field strength of 1.5 T, and water as the heat transfer fluid. Gd-based magnetic refrigerants can achieve about 60% efficiency of the theoretical limit, which is higher than conventional gas refrigerators [72–74, 76]. The schematic diagram of magnetic refrigeration cycle is shown in Fig. 1.12. Magnetic refrigeration works through a four-step thermodynamic cycle based on the conventional caloric effect. In the first step (adiabatic magnetization), a magnetic field is applied to the magnetic material under adiabatic conditions, causing its temperature to increase. This occurs as the magnetic moments reorient and align with the direction of the applied field, reducing the magnetic entropy of the system. Since the total entropy remains constant, the lattice entropy and electronic entropy increase due to enhanced lattice vibrations, resulting in a temperature rise in the magnetic material. In the second step (isomagnetic cooling), the heat generated during the first step is released to the surroundings while the magnetic field remains constant. In the third step (adiabatic demagnetization), the material is demagnetized under adiabatic conditions, causing its temperature to decrease. Finally, in the fourth step (isomagnetic cooling), the cooled material absorbs heat from the system, lowering the temperature of system. This cycle is then repeated to maintain continuous cooling. Magnetic refrigeration eliminates the need for harmful refrigerant gases, reducing environmental impact. It offers greater energy efficiency compared to gas compression refrigeration. The technology is suitable for various applications, including domestic refrigeration and cryogenics. Materials with a strong magnetocaloric effect, such as Gd, are essential for efficient performance. Research continues to explore alternative magnetic materials for better cost-effectiveness. Enhancements in magnet design can further improve efficiency. The magnetic refrigeration cycle is promising for sustainable cooling solutions.

## 1.6 Transport properties

### 1.6.1 Anomalous Hall effect

In 1879, Edwin H. Hall observed that when a current-carrying conductor is placed in a perpendicular magnetic field, the magnetic field applies a Lorentz force on the moving charge carriers, causing them to deflect towards the transverse side of the conductor [93]. This generates a measurable transverse voltage known as the Hall voltage, and this phenomenon is called the Hall effect (HE). HE is a fundamental phenomenon in the field of condensed matter physics and serves as a vital experimental tool for determining the type of charge carriers, measuring carrier density, and assessing magnetic field strength [94]. A schematic diagram of the HE is shown in Fig. 1.13(a), while the variation of Hall resistivity ( $\rho_H$ ) as a function of the magnetic field for the non-magnetic (NM) material is presented in Fig. 1.13(b).  $\rho_H$  under a magnetic field applied along the z-axis is given by  $\rho_H = R_0 H$ , where  $R_0$  is the Hall coefficient. Under the free electron gas approximation,  $R_0$  is related to the carrier density ( $n$ ) by the expression  $R_0 = -\frac{1}{ne}$  [94]. In 1880, Ed-

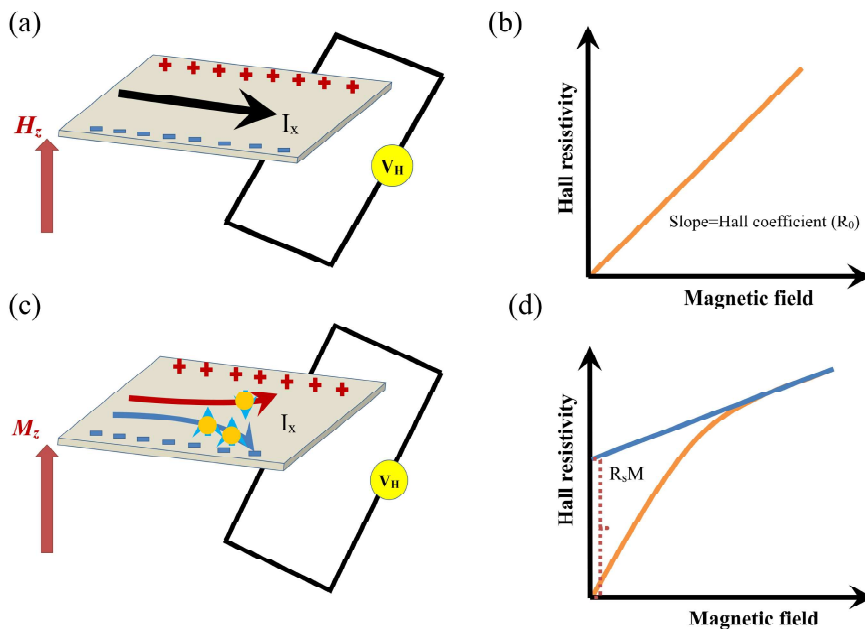


Figure 1.13: (a) Schematic diagram of Hall effect. (b) A typical Hall resistivity ( $\rho_H$ ) as a function of magnetic field for a nonmagnetic conductor. (c) Schematic illustration of anomalous Hall effect (AHE). (d) A typical Hall resistivity ( $\rho_H$ ) curve as a function of magnetic field for a ferromagnetic conductor, where  $R_S M$  is the zero-field intercept [95].

win H. Hall further discovered a stronger precession of electrons in ferromagnetic (FM) conductors than in NM materials. This enhanced HE is named as anomalous Hall effect (AHE) [96]. Further experiments on materials such as Fe, Co, and Ni suggested that AHE was related to the magnetization of the sample [97]. An empirical formula for the total Hall effect in FM conductors can be written as [98–100]

$$\rho_H = R_0H + R_S M \quad (1.27)$$

here,  $R_S M$  represents anomalous Hall resistivity ( $\rho_{AH}$ ),  $R_S$  is the anomalous Hall coefficient and  $M$  is the magnetization of the FM material. A schematic representation of the AHE is depicted in Fig. 1.13(c). In contrast to the NM conductors, FM conductors show non-linear behaviour in Hall resistivity (Fig. 1.13(d)). For FM conductors,  $\rho_H$  rises sharply at low magnetic fields due to the rapid saturation of magnetization. Once the magnetization saturates,  $\rho_H$  becomes linear (constant) with respect to  $H$  at higher fields, where the normal Hall contribution dominates. If this linear section is extrapolated back to zero field ( $H = 0$ ), it will not pass through the origin. Instead, the y-axis intercept provides the value of  $R_S M$ , as described in Eq. 1.27. Although HE and AHE appear similar, their origins are different. HE arises from the Lorentz force acting on moving electrons in an external magnetic field, whereas AHE occurs without an external magnetic field. Since no magnetic field is present, the AHE is not caused by the orbital motion of electrons [94]. The origin of AHE has remained a puzzling issue since its discovery. A significant breakthrough in understanding this phenomenon was made by Karplus and Luttinger [101], who demonstrated that electrons moving under an external electric field can develop an “anomalous velocity” perpendicular to the field. This transverse velocity contributes to the lateral motion of electrons, thereby playing a key role in the AHE. The “anomalous velocity” is a result of the electron-filled states in FM conductors influenced by spin–orbit coupling (SOC). SOC is a relativistic phenomenon which occurs when an electron perceives a magnetic field in its rest frame due to the electric field created by a localized electron or magnetic impurity. The correlation induced by SOC influences electron trajectories and plays a role in the AHE [102]. It has been suggested that this mechanism

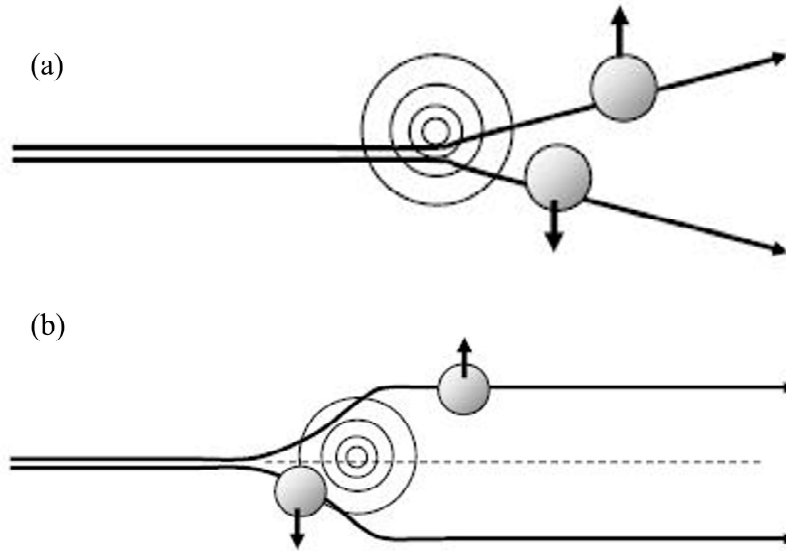


Figure 1.14: Schematic illustrations of (a) skew scattering and (b) side jump [94].

leads to  $\rho_{AH}$  proportional to the second power of the longitudinal resistivity ( $\rho_{AH} \propto \rho_{xx}^2$ ). Since this contribution depends solely on the band structure of an ideal crystalline structure and is completely unaffected by scattering from impurities, it is responsible for the intrinsic AHE in materials [94, 103–105]. After some time, two extrinsic mechanisms (skew scattering and side jump) were identified as dominant contributors to the AHE. It was proposed that defects or impurities are inevitably present in actual materials and can deflect moving electrons [106, 107]. When both SOC and ferromagnetism are present in the material, the scattering of electrons becomes asymmetric, resulting in an unequal lateral motion of electrons. This phenomenon is referred to as skew scattering. [106]. A schematic diagram of this process is depicted in Fig. 1.14(a). Unlike the intrinsic contribution, this mechanism predicts  $\rho_{AH} \sim \rho_{xx}$ . Later, Berger [107] proposed that electrons encounter different electric field as they approach and depart from an impurity, leading to an extra asymmetric scattering mechanism known as side jump, which is an additional contributor to AHE. A schematic diagram of the side jump is depicted in Fig. 1.14(b). This mechanism surprisingly predicts  $\rho_{AH} \sim \rho_{xx}^2$ , which aligns with the intrinsic contribution. From an experimental perspective, defects or impurities in samples are inevitable and often exhibit varying levels of complexity. As a result, intrinsic and extrinsic mechanisms commonly act together in contributing to the AHE [108].

## 1.6.2 Topological Hall effect

Beyond the AHE, another intriguing topological Hall effect (THE) has garnered significant interest in recent years [109–112]. THE typically arises in magnetic materials with strong SOC and broken inversion symmetry, which are key factors in establishing the Dzyaloshinskii-Moriya interaction (DMI) [113, 114]. The competition of the DMI, symmetric exchange interactions such as Heisenberg exchange, and an applied magnetic field can support the formation of complex topological magnetic structures, which exhibit a finite Berry curvature [115, 116]. The origin and characteristics of the THE differ from those of the AHE, which is driven by uniform magnetization. THE arises from nonuniform, non-collinear magnetization, and specific chirality. It introduces an additional contribution to the total Hall signal, in combination with the normal Hall and anomalous Hall components [117]. This effect results from an induced magnetic field due to finite Berry phase contributions in real or momentum space when conduction electrons interact with a noncoplanar spin structure having finite chirality [118]. When the length scale of the magnetic texture is comparable to the periodicity of the crystal structure and much smaller than the electron’s mean free path, the Berry curvature in momentum space becomes dominant. In this regime, the variation of the noncoplanar magnetic spin arrangement in weak external field replaces the role of SOC [119]. The induced emergent field arises from the cone angle formed by three spins, leading to scalar spin chirality, which can be expressed as  $\chi_{ij} = \sum \vec{S}_i \cdot (\vec{S}_j \times \vec{S}_k)$ , where  $\vec{S}_i$  represents the spin vector of a magnetic atom. The magnitude and direction of the emergent field in noncoplanar systems are influenced by the external magnetic field and show an approximately linear response to variations in the external field at a fixed temperature. In the case of real-space Berry curvature, where the magnetic texture length scale is much larger than the mean free path, THE arises from an adiabatic topological magnetic spin configuration. The topological nature of a spin configuration is characterized by a quantity called the topological winding number [112, 120], defined in terms of its scalar spin chirality. This number provides a measure of how spins are arranged in a noncoplanar configuration, capturing the degree of “twisting” or “winding” of the spin texture in space. In the continuum limit ( $\vec{S}_i \rightarrow \vec{n}$ ), where  $\vec{n}$  represents the

local unit vector of the magnetization direction, the topological winding number is expressed as an integral over the spin configuration. The emergent magnetic field generated by the real-space Berry curvature [121, 122] adiabatically deflects the spin-polarized motion of electrons, with opposite field directions acting on spins of opposite sign [122]. For skyrmionic textures, this emergent field remains constant. THE driven by real-space Berry curvature is independent of scattering and, as a result, remains constant with respect to longitudinal resistivity [123]. Taking the THE into account, the total Hall resistivity can be written as:

$$\rho_H = R_0 H + R_S M + \rho^T \quad (1.28)$$

here,  $\rho^T$  is the topological Hall resistivity. THE is an electrical transport property closely associated with skyrmions [112]. A magnetic skyrmion is a localized swirling spin configuration within a magnetic material [124]. The concept of skyrmions was first introduced by Tony Skyrme in the 1960s to explain the stability of hadrons in particle physics [125–127]. In this context, particles were described as quantized topological defects, whose stability is protected by a topological integer that remains unchanged under continuous deformations of the field configuration. Skyrmions were first experimentally observed in 2009 using neutron scattering in the chiral magnet MnSi [128]. There are three primary types of skyrmions, known as “Bloch-type”, “Néel-type”, and “antiskyrmion” distinguished by their different spin modulations along the radial direction (as illustrated in Fig. 1.15(a-c)). The schematic diagram of  $\rho^T$  as a function of the field is depicted in Fig. 1.15(d). The insets show the skyrmions at positive and negative fields. In Bloch-type skyrmions, the magnetic moments rotate perpendicular to the radial plane, while in Néel-type skyrmions, the moments rotate within the radial plane [129]. Furthermore, antiskyrmions exhibit a combination of both Bloch- and Néel-type magnetization dynamics [130]. In non-centrosymmetric materials, where symmetries can be schematically represented using molecular models, DMI plays a key role in the formation of skyrmions with fixed vorticity and helicity. Skyrmions in such systems have been observed in various materials, for example  $\text{Mn}_{1-x}\text{Fe}_x\text{Ge}$  [133],  $\text{FeGe}$  [134, 135],  $\text{Cu}_2\text{OSeO}_3$  [136, 137], and  $\text{Co}_8\text{Zn}_8\text{Mn}_4$  [138]. Later, skyrmions have also been detected in magnetic thin films de-

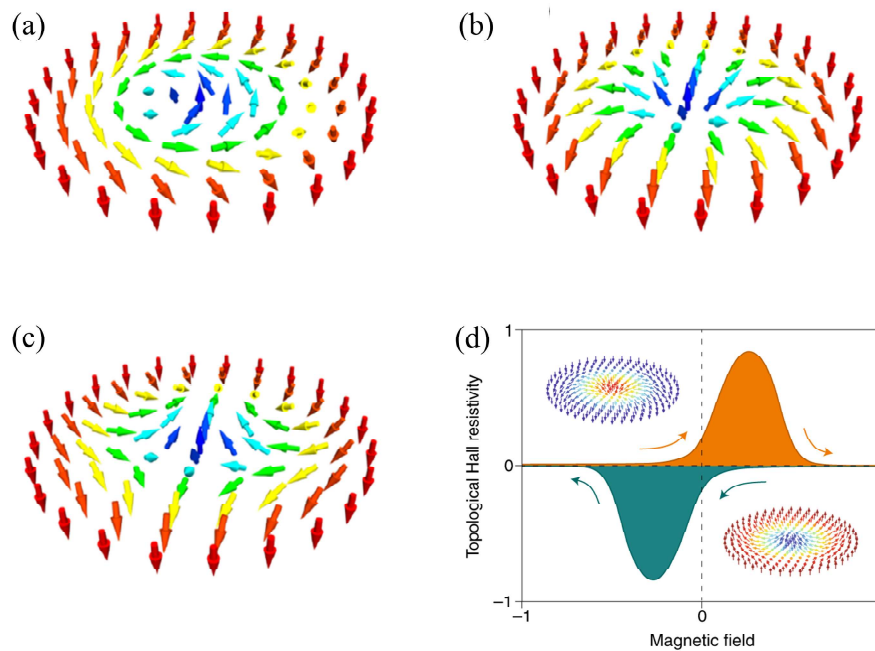


Figure 1.15: (a) Bloch Skyrmion. (b) Néel Skyrmion. (c) Antiskyrmion [131] (d) Topological Hall resistivity as a function of magnetic field. Insets show skyrmion textures within positive and negative magnetic fields [132].

posited on heavy metal substrates, where strong DMI emerges due to inversion symmetry breaking at the interface [139]. Skyrmions can also arise in centrosymmetric materials due to competing magnetic interactions, such as exchange interaction, magnetic anisotropy, and long-range dipolar interactions. Examples of centrosymmetric materials exhibiting skyrmionic structures driven by these competing forces include NiMnGa [140], Ni<sub>2</sub>MnGa [11], (Mn<sub>1-x</sub>Ni<sub>x</sub>)<sub>65</sub>Ga<sub>35</sub> [141], Fe<sub>3</sub>Sn<sub>2</sub> [142, 143], Mn<sub>4</sub>Ga<sub>2</sub>Sn [144], La<sub>1-x</sub>Sr<sub>x</sub>MnO<sub>3</sub> [145], and Fe<sub>3</sub>GeTe<sub>2</sub> [146] etc. The magnetic configurations of skyrmions give rise to nontrivial topological properties, often referred to as topological stability [147]. Notably, magnetic skyrmions can be controlled using various external stimuli, like electric currents in metals [148], electric fields in insulators [136], strain [149], and heat currents [150]. A key advantage of skyrmions is that the threshold current density required to move them is approximately three to five orders of magnitude lower than that needed to drive ferromagnetic domain walls [148]. These unique properties—including controllable ultra-small size, topological stability, and low-threshold current for motion—make skyrmions highly promising for the development of next-generation low-energy, high-density memory de-

vices where skyrmions serve as data bits [151–153].

## 1.7 Ni-Mn-In-based MSMA (cubic austenite phase) and PtMnGa (hexagonal austenite phase)

In general, Ni-Mn-based Heusler alloys undergo a first-order magnetostructural phase transition at their martensite phase transition ( $T_M$ ) [154, 155]. This phase transition gives rise to several important technological properties, including MCE [156], anomalous and topological Hall effects, Nernst effect [157], and large exchange bias [158], etc. The structure, magnetic transition temperatures, and related properties of these MSMA are highly sensitive to the composition and the valence electron concentration per atom ( $e/a$ ) ratio [159]. As shown in the phase diagram of Ni-Mn-In MSMA in Fig. 1.16,  $M_s$  can be tuned within a range of approximately 200 K to 1000 K by adjusting the  $e/a$  ratio from about 7.85 to 8.5. The stoichiometric Ni<sub>2</sub>MnIn MSMA does not exhibit martensite phase transition [160, 161], unlike other Ni-Mn-based Heusler alloys. Notably, when the Mn content is increased to a critical value (in the composition Ni<sub>2</sub>Mn<sub>1.36</sub>In<sub>0.64</sub>), the martensite phase transition begins to appear [161]. Generally, the martensite phase in Ni-Mn-In MSMA displays a 3M, 5M, or 7M modulated monoclinic structure [162, 163]. The martensite phase of Ni-Mn-In MSMA shows lower magnetization due to either paramagnetic (PM) or antiferromagnetic ordering [161], although the exact nature of this ordering remains unclear. Recent studies reported the observation of the premartensite phase (PM-phase) in Ni-Mn-In MSMA [164]. The PM-phase has been identified as a crucial precursor to the martensite phase in these alloys [164]. In these materials, the transition to the martensite phase does not occur directly from the high-temperature cubic austenite phase. Instead, it is preceded by an intermediate PM-phase, which plays a significant role in the phase transformation process. Since the PM-phase is closely linked to the martensite phase and responsible for exhibiting the shape memory effect, it has garnered significant attention in these alloys. Researchers have focused on understanding the crystal structure, phase stabilities, structural modulation, and the relationship between structure and properties of

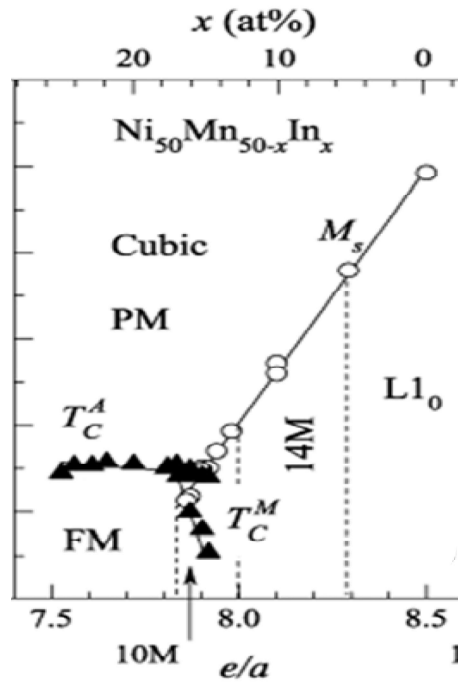


Figure 1.16: Phase diagram of  $\text{Ni}_{50}\text{Mn}_{50-x}\text{In}_x$  magnetic shape memory alloy [159].

the PM-phase to better comprehend its role and influence in these materials [165–168]. Studies suggest that the PM-phase is directly associated with skyrmion formation (consequently, the transport properties) in Ni-Mn-In-based MSMAAs [164], highlighting the importance of investigating the transport properties in these materials. However, only a few studies have focused on transport measurements, and the origin of these properties remains unclear [169–171]. Recent studies suggest that the PM-phase in Ni-Mn-In MSMAAs can be stabilized through chemical pressure tuning [172, 173].

In addition to Ni-Mn-In-based MSMAAs possessing a cubic austenite phase, there exists another category of materials with a hexagonal austenite phase [174] that also exhibit magnetic shape memory behavior [175]. These materials are half-Heusler compounds, known as Mn-based  $\text{MnXY}$  compounds, consist of X as a transition metal and Y as a group IIIA or IVA element [176]. Beyond their magnetic shape memory properties, these materials exhibit a range of intriguing physical phenomena, including MCE [177–179], magnetoresistance [180], and noncollinear magnetic structures [181]. Typically, these compounds undergo a structural transformation from a high-symmetry hexagonal (austenite) phase to a low-symmetry orthorhombic (martensite) phase [174, 182, 183]. Notably,

the martensite phase in these compounds exhibits a larger volume compared to the austenite phase [174]. The martensite phase in these materials can be suppressed by altering the composition through doping [174, 178], introducing an adequate concentration of vacancies [184], applying external pressure [185], or adjusting the  $e/a$  ratio [185]. Consequently, these materials can be categorized into two classes: those that exhibit a phase transition from hexagonal austenite to orthorhombic martensite and those with a stable hexagonal austenite phase only. Recently, compounds with a stable hexagonal austenite phase have garnered significant attention due to their fascinating non-coplaner spin configuration [186, 187]. In this thesis, the compound under investigation is PtMnGa, where Pt replaces X and Ga replaces Y in the XMnY half-Heusler compound [186]. PtMnGa exhibits bulk-type stable Néel skyrmions over a wide temperature range from 220 K to 5 K [186]. At room temperature, this compound exhibits PM behaviour. It transitions to a FM state at its  $T_C \sim 236$  K. It exhibits a canted antiferromagnetic (CAFM) state at 175 K, resulting in a weak magnetization. This transition marks a significant change in the material's magnetic ordering. At 148 K, the system undergoes another transformation from the CAFM state to a complex phase that combines both the CAFM and spin density wave (SDW) states. The SDW state is characterized by the periodic modulation of the electron density [188]. These transitions underscore the complex interaction between the material's magnetic and structural properties, which are strongly affected by factors such as temperature and magnetostructural coupling [188]. Notably, in the PtMnGa system, it has been observed that  $T_C$  increases when subjected to hydrostatic pressure. This temperature shift occurs at a rate of  $dT_C/dP = 1.7$  K/kbar [189], indicating that the application of pressure has a substantial impact on the material's magnetic properties. This suggests that there is a possibility to get the thermodynamically stable skyrmions temperature regime (210-220 K) close to room temperature or even at a higher temperature, which necessitates a detailed pressure-dependent structural investigation of the PtMnGa system. The ability to manipulate skyrmions using external pressure offers valuable insights that could enhance their functionality [149, 190]. This suggests the potential to shift the stable skyrmion temperature regime closer to room temperature, or even to higher temperatures,

highlighting the need for a comprehensive pressure-dependent structural investigation of the PtMnGa system.

## 1.8 Objective of present thesis

The  $\text{Ni}_2\text{Mn}_{1.4}\text{In}_{0.6}$  MSMA, among other Ni-Mn-In-based alloys, is significant due to its remarkable technological properties. These include the giant barocaloric effect [154], reversible MCE [156, 191], elastocaloric effect [155], superelastic stability [192], colossal negative magnetoresistance [157], AHE, THE, Nernst effects [157], large exchange bias [158], and the zero-field skyrmionic phase [164], etc. These important technological properties of  $\text{Ni}_2\text{Mn}_{1.4}\text{In}_{0.6}$  and related compositions are intricately connected to the magnetostructural transition between the austenite and martensite phases [154, 155, 191, 193]. Although the crystal structure of the martensite phase has been primarily established as 3M modulated [163, 194], the magnetic structure in the so-called PM gap is yet to be fully understood and remains a subject of ongoing debate [163, 195]. Neutron diffraction is a powerful and non-destructive technique that provides detailed insights into the magnetic structure of materials. It has proven to be especially useful in studying the magnetic properties of Ni-Mn-based MSMA, which exhibit complex structural transitions, particularly in the martensite phase. These materials typically display tetragonal or orthorhombic crystal structures, and neutron diffraction allows to probe the arrangements of magnetic moments within these structures at the atomic level [196–199]. However, neutron diffraction measurements have struggled to fully resolve the magnetic structure of the so-called PM gap in the martensite phase of  $\text{Ni}_2\text{Mn}_{1.4}\text{In}_{0.6}$ , primarily due to its complex modulated crystal structure [195]. Despite this difficulty, some studies have reported evidence suggesting an antiferromagnetic arrangement of Mn spins at lower temperatures [163]. Recently, the  $\mu\text{SR}$  technique has emerged as a highly effective method for probing complex magnetic structures [200–202]. It has also been successfully applied to the study of Ni-Mn-based MSMA [199, 203], offering valuable insights into their magnetic properties. Our objective is to determine the magnetic state in the so-called PM gap in the martensite phase of the  $\text{Ni}_2\text{Mn}_{1.4}\text{In}_{0.6}$  MSMA.

Extensive research has been conducted on Ni-Mn-In-based MSMA with the goal of using them as suitable materials for magnetic refrigeration applications [60, 192, 204, 205]. The substantial MCE observed in these materials is primarily associated with their first-order magnetostructural (martensite) phase transition (FOMST). However, this same FOMST, which is responsible for the large MCE, also leads to the irreversibility of the phase transition under repeated magnetic field cycles, posing a significant challenge for the development of magnetic refrigeration devices [60, 204, 206]. The irreversibility observed in these materials is linked to the thermal hysteresis of the FOMST, which arises from significant stress at the transition layer between the austenite and martensite phases. In recent years, there has been increased focus within the scientific community on minimizing this hysteresis [60, 207–209]. Hysteresis is an inherent characteristic of materials undergoing FOMST. One approach to reducing hysteresis is by substituting atoms at specific atomic sites [210, 211]. Comparing the MCE of different Ni-Mn-In-based MSMA it turns out that  $\text{Ni}_2\text{Mn}_{1.4}\text{In}_{0.6}$  is one of the best composition for the MCE application due to its large  $\Delta S_{iso}$  and  $\Delta T_{ad}$ . However, its large thermal hysteresis ( $\sim 8$  K) leads to irreversibility under repeated magnetic field cycles, posing a significant challenge. This study aims to address this issue by substituting Pt in place of Ni to reduce hysteresis and evaluate the reversibility of the system. The reversibility will be assessed indirectly by calculating  $\Delta S_{iso}$  from magnetization data obtained under three different measurement protocols. Additionally, the middle eigenvalue of the transformation matrix will be computed to verify the crystallographic compatibility condition.

MCE in magnetocaloric materials is characterized by two key parameters:  $\Delta S_{iso}$  and  $\Delta T_{ad}$ .  $\Delta S_{iso}$  can be determined through isothermal magnetization measurements as a function of the magnetic field. When combined with temperature- and field-dependent heat capacity ( $C$ ) measurements,  $\Delta S_{iso}$  can also be used to estimate the  $\Delta T_{ad}$ . This approach represents an indirect method for evaluating the MCE. This method is well-established, with magnetization and heat capacity measurement instruments being widely accessible. Consequently, indirect evaluations of the MCE are frequently reported. Pecharsky et al. analyzed the error associated with this approach, finding it to be around 15% for pure

Gd. Despite its potential inaccuracies, indirect measurement remains a popular choice due to its practicality and ease of use. To measure  $\Delta T_{ad}$  directly requires an experimental setup capable of recording temperature changes under adiabatic conditions when the magnetic field is varied. Over the past two decades, numerous prototypes and measurement setups have been developed. However, direct measurements of  $\Delta T_{ad}$  are less frequently reported in the literature on magnetocaloric materials compared to  $\Delta S_{iso}$ . This is mainly due to the limited availability of suitable commercial instruments. Our goal is to design a simple experimental setup to measure the direct MCE ( $\Delta T_{ad}$ ).

Typically, Ni-Mn-In-based MSMA undergo a direct transformation from the austenite phase to the martensite phase during cooling [212]. However, recent studies have shown that some Ni-Mn-In-based MSMA transition from the austenite (cubic) phase to the martensite (low symmetry) phase via a PM-phase during cooling [164, 172, 172, 213]. Investigation of the magnetotransport properties in the PM-phase of these MSMA is crucial due to the presence of exotic phenomena like skyrmions. [164, 171, 214, 215]. The PM-phase plays a key role in facilitating the formation of skyrmionic textures in  $\text{Ni}_2\text{Mn}_{1.4}\text{In}_{0.6}$  and is closely associated with the twinning and magnetic anisotropy of the system during phase transitions [164]. Generally, the PM-phase exists within a narrow temperature range. However, a recent study suggests that the PM-phase can be stabilized over a broader temperature range through chemical doping [173]. For instance, chemical doping has been reported to stabilize the PM-phase in  $\text{Ni}_{50}\text{Mn}_{34}\text{In}_{15.2}\text{Al}_{0.8}$  MSMA in the broad temperature range [173]. Consequently, studying the temperature-dependent magnetotransport properties in the PM-phase is crucial. In the PM-phase, slight lattice distortions and modulated structures amplify the competition between ferromagnetic exchange and antiferromagnetic coupling, providing an ideal environment for non-coplanar spin configurations.

The formation of skyrmions (non-coplanar spin configurations) is well understood in non-centrosymmetric materials due to the presence of DMI [147], but it continues to be a subject of debate in centrosymmetric materials [216, 217]. PtMnGa is known to host Néel skyrmions, which are topologically protected spin structures [186]. Initially, it was as-

sumed that PtMnGa exhibited a hexagonal crystal structure, and it was classified under the centrosymmetric  $P6_3/mmc$  space group based on early studies [218]. However, recent studies utilizing single crystal X-ray diffraction and selected-area electron diffraction have suggested a trigonal structure for PtMnGa, with a noncentrosymmetric  $P3m1$  space group [186]. This helps to explain the formation of Néel-type skyrmions in PtMnGa [186]. More recent studies on the average structure of PtMnGa using synchrotron X-ray diffraction and neutron powder diffraction have found no evidence supporting the  $P3m1$  space group [219]. However, selected-area electron diffraction may offer valuable insights at the local scale [220]. It has been suggested that the local structure of PtMnGa follows the  $P3m1$  symmetry. The presence of skyrmions can be explained by local symmetry breaking or structural disorder, even in the absence of long-range ordering in crystalline materials [221]. PtMnGa exhibits thermodynamically stable bulk-type Néel skyrmions within the temperature range of 210–220 K. Achieving stable skyrmions near room temperature is highly desirable for technological applications. Notably, in the PtMnGa system,  $T_C$  increases with hydrostatic pressure at a rate of  $dT_C/dP = 1.7$  K/kbar. This indicates the potential to shift the stable skyrmion temperature regime (210–220 K) closer to or even beyond room temperature. Therefore, a detailed pressure-dependent structural investigation of the PtMnGa system is essential. Our objective is to tune the skyrmion stability regime through the application of external hydrostatic pressure. The ultimate aim is to bring this regime closer to room temperature, making it more suitable for practical applications.

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