

CONTENTS

<i>Title Page</i>	i
<i>Certificate</i>	iii
<i>Declaration by the Candidate</i>	iv
<i>Copyright Transfer Certificate</i>	v
<i>Acknowledgement</i>	vi
<i>Contents</i>	vii-x
<i>List of Figures</i>	xi-xvi
<i>List of Tables</i>	xvii-xix
<i>List of Abbreviations</i>	xx-xxi
<i>List of Symbols</i>	xxii
<i>Abstract</i>	xxiii-xxvii
1. Chapter 1	
Introduction	1-7
1.1 General.....	1
1.2 Scope of the Study.....	3
1.3 Objectives of the Study.....	6
1.4 Organization of Thesis Chapters.....	6
2. Chapter 2	
Literature Review	8-39
2.1 Landslide Occurrence in India.....	8
2.1.1 Impact of Landslide.....	9
2.1.2 Vulnerability Towards Landslide.....	10
2.2 Slope Failure in Residual Soil Slope.....	13
2.2.1 Characteristics of Residual Soil.....	14
2.2.2 Residual Soil in Himalayan Region.....	14
2.3 Slope Stability Analysis Using Numerical Simulation.....	16
2.4 Heterogeneity Associated with Residual Soil.....	18

2.4.1 Probabilistic Slope Stability Analysis.....	19
2.4.2 Sampling by Monte Carlo Sampling Method.....	21
2.5 Identification of Landslide Hazard.....	23
2.5.1 Landslide Hazard Zonation Maps.....	23
2.5.2 Geotechnical Investigation-Based Landslide Hazard Identification.....	26
2.6 Machine Learning for Identification of Landslide Hazard.....	29
2.6.1 Artificial Neural Network Models for Slope Stability Assessment.....	31
2.7 Landslide Generated Debris Flow Analysis.....	34
2.8 Discussion.....	36

3. Chapter 3

Area of Study.....	40-50
3.1 Introduction.....	40
3.2 Lithology.....	42
3.3 Slope Morphology.....	44
3.4 Hydrology.....	46
3.5 Climate.....	47
3.6 Discussion.....	50

4. Chapter 4

Field and Laboratory Data Collection.....	51-84
4.1 Selection of Sites.....	51
4.2 Field Investigation and Data Collection.....	52
4.3 Laboratory Testing.....	57
4.3.1 Grain Size Distribution of Residual Soil.....	58
4.3.2 Natural Water Content of Residual Soil.....	61
4.3.3 Testing of Natural (Disturbed) and Remoulded Soil Samples.....	63
4.3.3.1 Specific Gravity of Natural and Remoulded Residual Soil.....	63
4.3.3.2 Geo-mechanical Properties of Natural and Remoulded Residual Soil.....	64

4.3.3.3 Shear Strength Parameters of Residual Soil and Weathered Rock Interface.....	74
4.3.3.4 High Resolution X-Ray Diffraction Analysis of Residual Soil.....	76
4.3.4 Geo-mechanical Properties of Intact Rock.....	78
4.3.5 Degree of Weathering of the Outcrop Rock Mass.....	80
4.4 Geotechnical Data from Literature.....	81
4.5 Discussion.....	83

5. Chapter 5

Numerical Simulation and Landslide Hazard Charts.....	85-123
5.1 Introduction.....	85
5.2 Probabilistic Slope Stability Analysis.....	85
5.2.1 Statistical Analysis of Random Geotechnical Variables.....	86
5.3 Numerical Simulation.....	92
5.3.1 Quick Identification of Landslide Hazard.....	94
5.3.1.1 Hazard Chart for Quick Identification of Landslide Risk.....	100
5.3.1.2 Validation of the Proposed Quick Identification Landslide Hazard Chart.....	104
5.3.2 Detail Identification of Landslide Hazard.....	106
5.3.2.1 Hazard Chart for Detail Identification of Landslide Risk.....	111
5.3.2.2 Validation of the Proposed Detail Identification Landslide Hazard Chart.....	117
5.4 Discussion.....	121

6. Chapter 6

Slope Stability Analysis using Artificial Neural Network.....	124-148
6.1 Introduction.....	124
6.2 Network Architecture.....	124
6.2.1 Training, Testing and Validation.....	127
6.2.2 Model Evaluation.....	129
6.3 Development of ANN Prediction Models.....	130

6.3.1 ANN1 Model for Quick Landslide Hazard Identification.....	133
6.3.1.1 Relative Importance of Input Parameters Based on ANN1 Model	138
6.3.2 ANN2 Model for Detail Landslide Hazard Identification.....	140
6.3.2.1 Relative Importance of Input Parameters Based on ANN2 Model	145
6.4 Discussion.....	147
7. Chapter 7	
Numerical Modeling of the Rheological Properties of Landslide Generated Debris	149-186
7.1 Introduction.....	149
7.2 Numerical Analysis Using Distinct Element Method.....	150
7.3 Calibration of Numerical Model.....	152
7.4 Validation of Numerical Model.....	157
7.5 Parametric Analysis.....	162
7.5.1 General Observations.....	163
7.5.2 Effect of Slope Height.....	165
7.5.3 Effect of Slope Angle.....	171
7.5.4 Effect of Slope Profile.....	174
7.5.5 Effect of Particle Size Distribution of Debris.....	176
7.5.6 Effect of Retaining Wall or Debris Flow Barrier.....	179
7.6 Discussion.....	183
8. Chapter 8	
Conclusions And Future Scope of Work.....	187-192
8.1 Conclusions.....	187
8.2 Future Scope of Work.....	192
References.....	193-205
Appendix I.....	206-212
List of Publications.....	213

LIST OF FIGURES

2.1	Major landslide prone areas of India (0.42 million km ²) (Source: https://www.gsi.gov.in)	09
2.2	Several localised slope failures are observed along the hill roads.....	12
2.3	Typical weathering profile in rocks (Little 1969).....	13
2.4	Steps in Probabilistic Analysis.....	21
2.5	Random Variable Samples used for Monte Carlo Probabilistic Analysis (Source: www.rocscience.com/probabilisticanalyses)	22
2.6	Flow chart showing taxonomy of LHZ approaches (Kanungo et al. 2009).....	24
2.7	Depicts various parameters used to obtain CoSMR (Dhiman and Thakur 2022).....	29
2.8	Basic Architecture of an ANN network.....	33
2.9	A severe debris flow affecting downslope settlements (Haugen and Kaynia 2008).....	34
3.1	Distribution of various stratigraphic zones of the Himalayas (McKenzie et al. 2011).....	40
3.2	Geological Formation of the Himalayas (Valdiya 2016).....	41
3.3	Highly degraded slopes observed in the study area.....	43
3.4	Mineral Map of Himachal Pradesh and Uttarakhand (Source: https://www.mapsofindia.com/)	44
3.5	Cross-section of Lesser Himalayan slope (a) Mussoorie (Pal et al. 2012), (b) Pipalkoti (Kanungo et al. 2013) and (c) Field observation at Uttarkashi, Uttarakhand.....	45
3.6	Failure of the entire residual soil layer observed at various sites in the study area.....	47
3.7	The time series of rainfall in mm for the months of June, July, August, September and southwest monsoon season, annual respectively for (a)	

	Uttarakhand and (b) Himachal Pradesh (Source: Climate Research and Services, IMD).....	49
4.1	GPS Coordinates of the selected sites.....	52
4.2	Various apparatus used during field investigation.....	54
4.3	Several localised debris flows observed during site investigations.....	54
4.4	Residual soil slope stratigraphy (a) Parashar, Himachal Pradesh (b) Sonprayag, Uttarakhand.....	55
4.5	Failure of residual soil mass overlying a bedrock as observed in several locations in the study area.....	56
4.6	Joint set pics at different sites.....	57
4.7	Increased joint spacing (solution space) in calcareous rocks due to seepage.....	57
4.8	Grain size distribution analysis (a) Sieve Test and (b) Hydrometer Test.....	58
4.9	Grain size distribution of the collected soil samples from various selected sites	60
4.10	Box and Whisker diagram showing the percentage variation in the particle size range of the residual soil samples.....	61
4.11	Oven drying for natural water content test.....	62
4.12	Box and Whisker diagram showing the variation in natural water content (%) of the soil samples.....	63
4.13	Specific gravity test.....	64
4.14	HR-XRD testing apparatus, Central Instrument Facility IIT (BHU).....	76
4.15	Laboratory tests on rock samples (a) Sample preparation (b) Poisson's ratio (c) Sample collected from sites (d) Specific gravity (e) Brazilian tensile and (f) Triaxial test.....	79
4.16	Slake Durability Test.....	80
5.1	Illustration of the Kolmogorov–Smirnov statistic. The red line is a model CDF, the blue line is an empirical CDF, and the black arrow is the K–S statistic (Selezneva et al. 2003).....	87

5.2	Basic slope model used for numerical simulation.....	96
5.3	Variation of FOS with the thickness of residual soil for varying slope heights and constant slope angle of (a) 15 ⁰ (b) 30 ⁰ (c) 45 ⁰ , and (d) 60 ⁰	97
5.4	Presence of weathered rock in the form of scrapped boulders in slid mass (a and b) in case of shallow soil cover (c) Failure surface for 10m residual soil layer, 100m high, and 45 ⁰ slope (d) Failure surface for 5m residual soil layer, 100m high, and 45 ⁰ slope.....	99
5.5	The in-centre for a particular combination of the physical slope parameters....	102
5.6	Location of the in-centre (FOS) of the triangles formed from various combinations of slope physical parameters.....	103
5.7	Landslide vulnerability zones in the proposed Hazard Chart for quick identification of landslide vulnerability.....	104
5.8	Validation of proposed Hazard Chart for quick identification of landslide vulnerability.....	105
5.9	FOS Vs. The thickness of residual soil for various combinations of slope angle and percentage of fines in residual soil under 10% soil water content.....	109
5.10	Determination of FOS for a particular combination of the physical and geotechnical slope parameters.....	114
5.11	Proposed Hazard Chart for detailed identification of landslide vulnerability in case of 0% WC of residual soil.....	115
5.12	Proposed Hazard Chart for detailed identification of landslide vulnerability in case of 10% WC of residual soil.....	115
5.13	Proposed Hazard Chart for detailed identification of landslide vulnerability in case of 20% WC of residual soil.....	116
5.14	Proposed Hazard Chart for detailed identification of landslide vulnerability in case of 30% WC of residual soil.....	116
6.1	The network architecture of an MLP ANN model.....	125
6.2	Network architecture (MLP 8-12-1) of the developed ANN1 model.....	135

6.3	Training RMSE curve of the selected MLP 8-12-1 for ANN1 model.....	135
6.4	Targeted and output FOS for the ANN1 model during training, testing, and validation phase.....	136
6.5	Learning rate curve for training and validation phase of the developed ANN1 model.....	137
6.6	Prediction of FOS for test data compared with the target FOS.....	137
6.7	Residual Error Plot of FOS for (a) Training samples, (b) Testing samples and (c) Validation samples in case of ANN1 model.....	138
6.8	Network architecture (MLP 9-11-1) of the developed ANN2 model.....	142
6.9	Training RMSE curve of the selected MLP 9-11-1 for ANN2 model.....	142
6.10	Targeted and output FOS for the ANN2 model during training, testing, and validation phase.....	143
6.11	Learning rate chart for training and validation phase of the developed ANN2 model.....	144
6.12	Prediction of FOS for test data compared with the target FOS.....	144
6.13	Residual Error Plot of FOS for (a) Training samples, (b) Testing samples and (c) Validation samples in case of ANN2 model.....	145
7.1	Dashpot and spring representation for normal and shear viscous damping (Source: https://www.itascacg.com/software/pfc)	151
7.2	Normal and shear stiffness with direction (Source: https://www.itascacg.com/software/pfc)	151
7.3	The physical model developed in the laboratory for calibrating the numerical model (a) Side section and (b) Front section.....	153
7.4	Numerical model corresponding to the physical laboratory model (a) Side section and (b) Front section.....	154
7.5	Various Rblock templates used during numerical simulation.....	154

7.6	Landslide debris flow comparison study for a 60cm high and 45 ⁰ dry slope (a) physical model and (b) numerical simulation.....	155
7.7	Slope failure and debris flow in Varunavat Hill, September 2003 (Sarkar et al. 2011).....	158
7.8	Topography of Varunavat Hill (a) Digital elevation model (b) Wall generated in PFC.....	159
7.9	The flow of debris over Varunavat Hill at a different time frame.....	161
7.10	For a 40m high slope with an inclination of 45 ⁰ (a) Final settlement and distribution profile of the debris (b) Spread of different size particles.....	165
7.11	Variation of kinetic energy per unit volume of the entire (a) Dry debris and (b) Wet debris with time for a 45 ⁰ slope with a varying slope height.....	166
7.12	Average distance travelled by (a) entire debris (b) individual particle groups in dry debris, and (c) individual particle groups in wet debris from toe v/s height of the slope for a 45 ⁰ slope.....	167
7.13	Variation of kinetic energy per unit volume with time for a 45 ⁰ slope with varying slope height for different particle size range under dry flow.....	168
7.14	Variation of kinetic energy per unit volume with time for a 45 ⁰ slope with varying slope height for different particle size range under wet flow.....	169
7.15	Variation of the average kinetic energy of debris with time for individual particle size range for (a) dry and (b) wet debris for a 40m high slope with an inclination of 45 ⁰	170
7.16	Variation of the average velocity of debris with time for individual particle size range for (a) dry and (b) wet debris for a 40m high slope with an inclination of 45 ⁰	170
7.17	Variation of average distance travelled by debris from toe v/s slope angle for a 40m high slope.....	172
7.18	Variation of average distance travelled by particles of different size groups under (a) Dry and (b) Wet conditions from the toe for different slope angles for a 40m high slope.....	172

7.19	Variation of the average kinetic energy of the flowing (a) Dry (b) Wet debris with time for different slope inclinations for a 40m high slope.....	173
7.20	Variation of the average velocity of the flowing (a) Dry (b) Wet debris with time for different slope inclinations for a 40m high slope.....	174
7.21	Variation of the average kinetic energy of debris under (a) Dry and (b) Wet condition with time for a 40m high slope having different slope profile.....	175
7.22	Variation of the average velocity of debris under (a) Dry (b) Wet condition with time for a 40m high slope having different slope profile.....	176
7.23	The average kinetic energy with time for debris possessing different particle size compositions flowing over a 40m high slope with an inclination of 45°	178
7.24	The average velocity of flow with time for debris possessing different particle size compositions flowing over a 40m high slope with an inclination of 45°	178
7.25	Effect of retaining wall on flow of landslide debris (a) Position of the wall (b) Variation of the average kinetic energy of debris with time for different positions of the wall.....	179
7.26	(a) Fraction of overall debris retained by the rigid wall (b) Fraction of different sizes of particles retained by rigid wall placed at different positions along the slope.....	181
7.27	Graphs depicting the variation of Force due to landslide debris on retaining walls positioned at different locations (in SI units).....	182
7.28	Graphs depicting the Moment due to Force of landslide debris on retaining wall positioned at different locations (in SI units).....	183

LIST OF TABLES

3.1	Major rock forms of Himalayas (Ray et al. 2021).....	42
3.2	Mean rainfall (mm) and coefficient of variation of the state for the monsoon months, southwest monsoon season and annual (Source: Climate Research and Services, IMD).....	49
4.1	Locations of carbonate lithologies in the study area.....	51
4.2	Detail field investigation and in-situ tests at various selected sites.....	53
4.3	Size range for soil according to ISSCS.....	58
4.4	Natural water content of the soil samples collected from various sites.....	62
4.5	Specific gravity test results for the natural (disturbed) and remoulded soil samples.....	64
4.6	Geotechnical parameters of natural (disturbed) soil sample tested for natural water content.....	65
4.7	Geotechnical parameters of remoulded residual soil sample tested for 0%WC.....	67
4.8	Geotechnical parameters of remoulded residual soil sample tested for 10%WC.....	69
4.9	Geotechnical parameters of remoulded residual soil sample tested for 20%WC.....	71
4.10	Geotechnical parameters of remoulded residual soil sample tested for 30%WC.....	73
4.11	Shear strength parameters of the residual soil and weathered rock interface for the natural (disturbed) residual soil samples.....	74
4.12	Shear strength parameter Cohesion (kPa) of the remoulded residual soil and weathered rock interface.....	74
4.13	Shear strength parameter Friction Angle (degrees) of the remoulded residual soil and weathered rock interface.....	75

4.14	Mineralogical analysis of the residual soil samples using HR-XRD.....	77
4.15	Geotechnical parameters of tested rock samples (dry).....	78
4.16	Weathering intensity of the outcrop rock mass as obtained through SDI test	80
4.17	Geotechnical properties of residual soil from the literature.....	81
4.18	Geotechnical properties of weathered rock mass from the literature.....	82
5.1	Statistical distribution and distribution parameters of residual soil (natural disturbed sample).....	89
5.2	Statistical distribution and distribution parameters of residual soil (remoulded sample).....	90
5.3	Statistical distribution and distribution parameters of remoulded residual soil-weathered rock interface strength parameter.....	91
5.4	Statistical distribution and distribution parameters of weathered rock mass...	92
5.5	Statistical distribution and distribution parameters of bedrock.....	92
5.6	Percentage difference in FOS between 50m and 500m high slope.....	100
5.7	Correlation coefficients obtained from correlation analysis of the slope stability parameters under natural (disturbed) residual soil conditions.....	101
5.8	Data taken from various case studies for validating the proposed quick landslide hazard chart.....	104
5.9	Correlation coefficients obtained from correlation analysis of the slope stability parameters under remoulded residual soil conditions.....	112
5.10	Percentage of individual potential hazard combinations out of total 6400 slope physical and geotechnical combinations.....	117
5.11	Validation of proposed Hazard Chart for detailed identification of landslide vulnerability.....	118
6.1	Identification of best MLP for ANN1 during the training process.....	134
6.2	Performance indices of the developed ANN1 model.....	136
6.3	Connecting weights of the selected ANN1 model.....	139

6.4	Relative importance of input variables for the developed ANN1 model.....	140
6.5	Identification of best MLP for ANN2 during the training process.....	141
6.6	Performance indices of the developed ANN2 model.....	143
6.7	Connecting weights of the selected ANN2 model.....	146
6.8	Relative importance of input variables for the developed ANN2 model.....	147
7.1	Comparison between physical testing (Laboratory) and numerical model (PFC) result for a 60cm heigh slope.....	156
7.2	Contact properties obtained from calibrating the numerical model with the laboratory test model.....	157
7.3	Salient features of Varunavat landslide.....	158
7.4	Comparison between actual parameters and modelled output.....	161
7.5	Particle size distribution of the debris used during numerical simulation.....	163
7.6	Distance of debris spread from toe for the entire debris volume.....	165
7.7	Spread of debris from toe for different slope profile.....	174
7.8	Particle size distribution of the debris and their fraction for analysis of size effect.....	176
7.9	Effect of particle gradation of debris on the distance travel by debris from toe.....	177
7.10	Spread of the overflown debris from the wall calculated from the toe of the slope.....	180