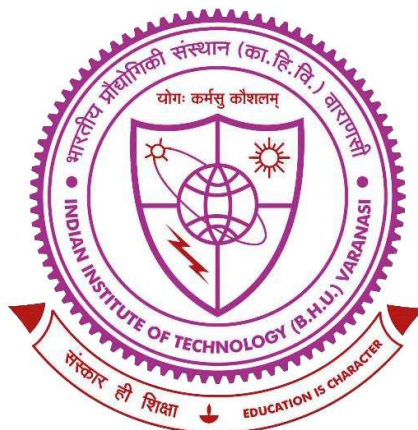


Local Structure Variation, Phase Stability and Electrocatalytic Activity in Rocksalt and Spinel based Multi-Component (CoCuMgNiZn)-Oxide and its Derivatives



**Thesis submitted in partial fulfilment for the
Award of Degree
DOCTOR OF PHILOSOPHY**

By

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CERTIFICATE

It is certified that the work contained in the thesis titled “**Local Structure Variation, Phase Stability and Electrocatalytic Activity in Rocksalt and Spinel based MultiComponent (CoCuMgNiZn)-Oxide and its Derivatives**” by Saptarshi Mukherjee has been carried out under my supervision and that this work has not been submitted elsewhere for a degree.

It is further certified that the student has fulfilled all the requirements of Comprehensive, Candidacy and SOTA for the award of Ph.D. degree.



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I, **Saptarshi Mukherjee**, certify that the work embodied in this Ph.D. thesis is my own bonafide work carried out under the joint-supervision of **Dr. Joysurya Basu** and **Prof. N.K. Mukhopadhyay** for a period from **January 2018 (Registration: December 27, 2017)** to **December 27, 2024** at the **Department of Metallurgical Engineering, Indian Institute of Technology (BHU), Varanasi, India**. The subject matter embodied in this Ph.D. thesis has not been submitted elsewhere for the award of any other degree/diploma. I declare that I have faithfully acknowledged and given credits to the researchers wherever their works have been cited in my work in this thesis. I further declare that I have not wilfully copied any other's work, paragraphs, text, data, results, etc., reported in journals, books, magazines, reports, dissertations, thesis, etc., or available at websites and have not included them in this thesis and have not cited as my own work.

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Place: Varanasi

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This is to certify that the above statement made by the candidate is correct to the best of my knowledge.

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“The known is finite, the unknown infinite; intellectually we stand on an islet in the midst of an illimitable ocean of inexplicability. Our business in every generation is to reclaim a little more land...” -T. H. Huxley

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LIST OF SYMBOLS AND ABBREVIATIONS

θ_B	:	Bragg angle	
λ	:	Wavelength	
G	:	Gibbs free energy	} <i>General Thermodynamics</i>
H	:	Enthalpy	
S	:	Entropy (total)	
G_{mix}	:	Gibbs free energy of mixing	} <i>Solution Thermodynamics</i>
H_{mix}	:	Enthalpy of mixing	
S_{mix}	:	Entropy of mixing (configurational)	
U	:	Internal Energy	
P	:	Pressure	
V	:	Volume	
a	:	Lattice parameter	
d	:	Inter-planar spacing	
RS	:	Rocksalt	
SP	:	Spinel	
TEM	:	Transmission Electron Microscopy	
SEM	:	Scanning Electron Microscopy	
XRD	:	X-Ray Diffraction	
SAED	:	Selected Area Diffraction Pattern	
BF	:	Bright Field	
CDF	:	Centered Dark Field	
XEDS	:	X-ray Energy Dispersive Spectroscopy	
LSV	:	Linear Sweep Voltammetry	
CV	:	Cyclic Voltammetry	
EIS	:	Electrochemical Impedance Spectroscopy	
HEO	:	High Entropy Oxide	
MCO	:	Multi Component Oxide	
ESO	:	Entropy Stabilized Oxide	

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asymmetrical diffused streaking of spots, splitting of spots is observed. In the bright field images (b-c) domain like structure with domain wall boundaries are observed. The domain wall boundaries are lightened up in the dark field image in (d) with $g=020$ diffraction spot

Figure 4.11: (a-b) Electron diffraction patterns from a different region of (CoCuMgNiZn) ESO after sintering at 1323 K for 10 h, subsequent ageing at 723 K for 120 h followed by water quenching. The diffraction pattern in (a) is inverted with respect to the diffraction pattern in (b). The patterns may be indexed with co-existent rocksalt phase and a spinel phase with a definite orientation relationship. The d-spacings, angular relationships and ratio of principal vectors are marked in (a). In (b) the diffraction spots corresponding to a rocksalt phase (green) and a spinel phase (yellow) are marked with different colours. The diffraction spots are connected with differently coloured dotted lines to bring out the zone axes symmetry shapes

Figure 4.12: (a) Schematic representation of structurally modulated domains in 2D and in 3D in the (CoCuMgNiZn) ESO. Structural modulation may be initiated with the chemical modulation in individual domains, which are represented with different colours. Structural modulation in 3D makes the ESO appear uniform in chemistry due to the overlap of domains with different chemistry. (b) Schematic representation of oriented growth of rocksalt phase and a spinel phase as obtained from the experimental diffraction patterns in Figure 10. The interface is coherent

Figure 5.1: (a) X-ray diffraction (XRD) patterns of equimolar (CoMgNi)-oxide powder in the as-mixed condition and after 5h, 15h, 40h of ball milling. (b) Simulated XRD pattern of cubic rocksalt ($a \sim 4.2 \text{ \AA}$) in red and cubic spinel ($a \sim 8.1 \text{ \AA}$) in blue respectively. (c) Magnified view of 311 peak of cubic spinel and 111 peak of cubic rocksalt in as-mixed condition and after 5h, 15h and 40h of ball milling (d) Magnified view of the evolution of 200 peak of cubic rocksalt phase in the as-mixed condition and after 5h, 15h and 40h of ball milling

Figure 5.2: X-ray diffraction (XRD) patterns of equimolar binary (MgNi)-oxide, (CoNi)-oxide and ternary (CoMgNi)-oxide powder after sintering at 1473 K for 10h followed by water quenching. In all three compositions cubic rocksalt phase forms as the major phase, however, with varying intensity ratios of 111 and 200 diffraction peaks

Figure 5.3: (a) X-ray diffraction (XRD) patterns of equimolar ternary (CoMgNi)-oxide powder (light green) and pellet (dark green) after sintering at 1473 K for 10h followed by water quenching. In the pellet, signature of cubic spinel phase is observed, which is absent in the powder. (b) Normalized intensity plots from the experimental XRD patterns of the powder (light green) and pellet (dark green). Compton modified scattering background is represented as bands with respective colours. Compton modified background for the powder being high, the low intensity spinel peak gets suppressed in the powder

Figure 5.4: (a-d) Bright field image and selected area diffraction patterns along $z=[011]$, $z=[125]$ and $z=[013]$ zone axes from ternary equimolar (CoMgNi)-oxide after sintering at

1473 K for 10 h followed by water quenching. The diffraction patterns may be indexed to a cubic rocksalt phase ($a \sim 4.2 \text{ \AA}$) with diffuseness and occasional spot splitting. Mottled contrast associated with strain fields is observed in the bright field image. (e) Centred dark field image and complementary bright field image (inset) from the same ternary equimolar (CoMgNi)-oxide after sintering at 1473 K for 10 h followed by ageing at 723 K for 120 h, in which parallel fringe with alternating contrast is observed. (f) Magnified bright field image of (e) showing multiply oriented fringes with $\sim 1.5\text{-}3.5 \text{ nm}$ spacing between them

Figure 5.5: (a) Selected area electron diffraction pattern along $z=[001]$ zone axis of (CoMgNi)-oxide after sintering at 1473 K for 10h followed by water quenching. Onset of splitting in the higher order spots (marked by dotted circles) is observed. (i-iv) Intensity distribution plots of 200 and 220 type spots are almost symmetrical. (b) Selected area electron diffraction pattern along $z=[001]$ zone axis of (CoMgNi)-oxide after sintering at 1473 K for 10h, ageing at 723 K for 120h followed by water quenching. The diffraction spots are split and arced with modulation of intensity distribution. (v-viii) Intensity distribution plots of 200 and 220 type spots are not symmetrical with several maxima

Figure 5.6: X-ray diffraction (XRD) patterns of (CoMgNi)-oxide with systematic addition of Fe- and Mn-ions after sintering at 1473 K for 10h followed by water quenching. With systematic addition of Fe- and Mn-ions the major phase in the equimolar multicomponent oxide changes from cubic rocksalt phase to cubic spinel phase

Figure 5.7: (a, c) Selected area electron diffraction patterns along $z=[001]$ and $z=[\bar{1}14]$ zone axes respectively and (b, d) bright field images of the multicomponent equimolar (CoFeMgMnNi)-oxide after sintering at 1473 K for 10h followed by water quenching. Electron diffraction patterns are indexed to a cubic spinel phase ($a \sim 8.38 \text{ \AA}$). In the bright field images mottled contrast with occasional fringe contrast (Figure 7d (inset)) is observed

Figure 5.8: Selected area electron diffraction patterns and bright field images of (CoFeMgMnNi)-oxide after sintering at 1473 K for 100h followed by water quenching. The diffraction pattern in (a) is indexed to a cubic spinel phase, $z=[\bar{1}14]$ zone axis along with a coexistent rocksalt phase, $z=[001]$ zone axis. Indices of the diffraction spots, their angular relationships and ratios of principal vectors are given in (b), which is inverted with respect to (a). In the corresponding bright field image in (c), extensive fringe contrast (marked by arrows) within the mottled contrast is observed. The diffraction pattern in (e) is indexed to the same cubic spinel phase, $z=[012]$ zone axis along with the coexistent cubic rocksalt phase, $z=[013]$ zone axis. Indices of the diffraction spots, their angular relationships and the ratios of the principal vectors are given in (f), which is inverted with respect to (e). The corresponding bright field image in (d) shows domain like structure along with fringe contrast. Orientation relationship between the cubic spinel phase and the cubic rocksalt phase is evident

Figure 5.9: X-ray diffraction (XRD) patterns of (CoFeMgMnNi)-oxide and (CoCrFeMnNi)-oxide after sintering at 1473 K for 10h followed by water quenching. In

both of the multicomponent oxides cubic spinel phase is observed to be the predominant phase with systematic peak splitting and shouldering

Figure 5.10: (a-f) Bright field, centred dark field and selected area electron diffraction patterns of (CoCrFeMnNi)-oxide after sintering at 1473 K for 10h followed by water quenching. The diffraction pattern in (c) is indexed to a cubic spinel phase, $z=[001]$ zone axis. The diffraction spots are diffused with streaking along mutually perpendicular 220 type directions (marked with arrows). In the bright field image in (a) and corresponding centred dark field image in (b) modulation and formation of nanodomains are observed (marked with arrows). In two-beam bright field image in (e) and in (f) modulation along 220 direction is observed. Corresponding two beam diffraction pattern is given in the inset of (e). Cross penetration of modulation leading to the formation of domains is shown in the high magnification image in (d)

Figure 5.11 (a-b): Projected interface structure diagram between cubic spinel phase and cubic rocksalt phase. The interface structure diagram has been developed based on the experimentally observed orientation relationship between the cubic spinel phase and the cubic rocksalt phase. The interfaces are semi-coherent in nature

Figure 6.1: LSV plots recording the current density as a function of applied voltage, calibrated against reduced hydrogen electrode. The response from each oxide is colour coded. The inset compares the electrocatalytic response from (CoCuMgNiZn)-oxide and its derivative (CoMgNi)-oxide after sintering and ageing heat treatments

Figure 6.2: Experimental XRD patterns from sintered and quenched equimolar, ternary (CoMgNi)-oxide (green), quaternary (CoMgMnNi)-oxide (purple), quinary (CoFeMgMnNi)-oxide (blue), (CaCoFeMgNi)-oxide (orange) and (CoCuMgNiZn)-oxide (magenta). Respective phases have been marked with different coloured symbols

Figure 6.3: SEM-SE micrographs, selected area diffraction pattern and TEM bright field/dark field images from sintered and quenched (a1-a3) (CaCoFeMgNi)-oxide, (b1-b3) (CoFeMgMnNi)-oxide, (c1-c3) (CoCuMgNiZn) ESO and (d1-d3) (CoCrFeMnNi) HEO respectively. The microstructural features are marked with arrows and indexing of diffraction patterns have been done employing different colour schemes

Figure 6.4: SEM-XEDS chemical mapping from (a1) sintered and quenched (CoMgNi)-oxide, (a2) sintered, aged and quenched (CoMgNi)-oxide, (b1) sintered and quenched (CoCuMgNiZn) ESO and (b2) sintered, aged and quenched (CoCuMgNiZn) ESO respectively. The quantification of the cation weight % has been provided in coloured boxes adjacent to the respective maps

Figure 6.5: (a) LSV response from sintered and quenched equimolar (CoMgMnNi)-oxide, (b) CV plots from the same oxide under varying scan rates and (c) schematic representing possible mechanism behind enhanced electrocatalytic activity in the same dual-phase oxide

Figure 6.6: (a) Overpotential plots for all the respective multicomponent oxides at 5 mA/cm² and (b) Tafel slopes for the same oxides. The histogram is accompanied with computed overpotential values at 1 mA/cm² (in white) and at 10 mA/cm² (in black). The Tafel slopes are marked over each oxide

Figure 7.1: Sintered and quenched pellet of equimolar ternary (CoMgNi)-oxide placed on an alumina tray filled with a mixture of alumina and graphite powder (a) before ageing treatment and (b) after ageing treatment. The obvious change in colour of the powder bed may easily be discerned

Figure A.1: Single-crystal spot electron diffraction patterns from several zone axis of a FCC structure ($u^2+v^2+w^2 < 22$). The patterns have been simulated with the help of JEMS software. The respective zone axis directions are marked with “ZA” on top of each pattern. The ratio of the principal vectors along with the interplanar angles are marked in the respective patterns.