

# Contents

<b>Certificate</b>	<b>i</b>
<b>Declaration by Candidate</b>	<b>iii</b>
<b>Copyright Transfer Certificate</b>	<b>v</b>
<b>Acknowledgment</b>	<b>viii</b>
<b>List of Tables</b>	<b>xv</b>
<b>List of Figures</b>	<b>xvii</b>
<b>List of Acronyms</b>	<b>xix</b>
<b>Nomenclature</b>	<b>xxiv</b>
<b>Preface</b>	<b>xxxiii</b>
<b>1 Introduction and Literature review</b>	<b>1</b>
1.1 Introduction . . . . .	1
1.2 Background . . . . .	1
1.3 Literature review . . . . .	3
1.3.1 Studies on EMS of DC $\mu$ G in deterministic framework . . . . .	3
1.3.2 Studies on EMS of DC $\mu$ G considering input uncertainties . . . . .	7
1.3.3 Studies on Electricity-Hydrogen sectoral coupling . . . . .	12
1.3.4 Studies on participation in frequency reserves market . . . . .	15
1.3.5 Studies on DC $\mu$ G EMS with islanding constraints . . . . .	17
1.3.6 Studies on resilient operation . . . . .	18

1.4	Research gaps and motivation . . . . .	20
1.5	Thesis Organisation . . . . .	23
1.6	Assumptions . . . . .	24
<b>2</b>	<b>DC Microgrid energy management with correlated uncertainties</b>	<b>27</b>
2.1	Introduction . . . . .	27
2.2	System Modelling . . . . .	29
2.2.1	Uncertainty Modelling . . . . .	31
2.2.1.1	Probabilistic modelling of input RVs . . . . .	32
2.2.1.2	Incorporation of correlation between input RVs . . . . .	33
2.2.2	Hong's 2m PEM . . . . .	35
2.2.3	Modelling of DC $\mu$ G components . . . . .	37
2.2.3.1	WPG . . . . .	38
2.2.3.2	MT . . . . .	38
2.2.3.3	BESS . . . . .	39
2.2.3.4	Flexible Load/DR Participants . . . . .	39
2.2.3.5	PHEV charging load . . . . .	40
2.2.3.6	Network Model . . . . .	40
2.2.3.7	DCSOP . . . . .	41
2.3	Problem Formulation and Solution Approach . . . . .	43
2.3.1	Objectives and Constraints . . . . .	43
2.3.1.1	First Objective . . . . .	44
2.3.1.2	Second Objective . . . . .	45
2.3.1.3	Constraints . . . . .	45
2.3.2	Solution of the MO optimisation problem . . . . .	46
2.4	Simulation studies . . . . .	48
2.4.1	Test system . . . . .	48
2.4.2	Simulation Study and Results . . . . .	50
2.4.2.1	S0-Base case . . . . .	50
2.4.2.2	S1-Cost Minimisation . . . . .	51
2.4.2.3	S2-Loss Minimisation . . . . .	55
2.4.2.4	S3-MO optimisation . . . . .	57

2.4.2.5	Impacts of different flexibilities on system performance . . .	60
2.4.2.6	Sensitivity analysis . . . . .	62
2.4.2.7	Performance comparison with correlated and uncorrelated input RVs . . . . .	68
2.5	Conclusions . . . . .	70
<b>3</b>	<b>Optimal Operation of an Electricity-Hydrogen DC Microgrid with In-</b> <b>tegrated Demand Response</b>	<b>73</b>
3.1	Introduction . . . . .	73
3.2	Materials and Methods . . . . .	77
3.2.1	System Configuration and Operation Framework . . . . .	77
3.2.2	Uncertainty Modelling . . . . .	78
3.2.3	Problem Formulation . . . . .	80
3.2.3.1	Market Players and System Modelling . . . . .	80
3.2.3.2	Linearisation of non-convex DC $\mu$ G network model . . . . .	83
3.2.3.3	Competitive Equilibria . . . . .	85
3.2.4	Reformulation and Solution . . . . .	89
3.3	Results and Discussion . . . . .	92
3.3.1	Test System and Input Data . . . . .	92
3.3.2	Simulation Results . . . . .	93
3.3.2.1	Economic benefits of the proposed approach . . . . .	95
3.3.2.2	Analysis of the proposed approach (Scenario #S1) . . . . .	96
3.3.2.3	Impact of flexibilities on operation economy . . . . .	99
3.4	Conclusions . . . . .	100
<b>4</b>	<b>DC Microgrid Operation with Hybrid Energy Storage Considering Is-</b> <b>landing Constraints and Demand Response Coordination: A Bi-Level</b> <b>Stackelberg Game Approach</b>	<b>101</b>
4.1	Introduction . . . . .	101
4.2	Materials and methods . . . . .	107
4.2.1	DC $\mu$ G structure and operation . . . . .	107
4.2.2	Modelling of uncertainties . . . . .	110
4.2.3	System model . . . . .	111

4.2.3.1	Upper-level problem . . . . .	111
4.2.3.2	Islanding constraints for the upper-level problem . . . . .	114
4.2.3.3	Lower-level problem . . . . .	116
4.2.4	Solution methodology . . . . .	116
4.2.4.1	Convex approximation of non-convex DC $\mu$ G network model using linearisation technique . . . . .	116
4.2.4.2	Conversion of bi-level problem to single level . . . . .	118
4.2.4.3	Existence of SG equilibrium . . . . .	121
4.2.4.4	Uniqueness of SG equilibrium . . . . .	121
4.3	Numerical studies . . . . .	122
4.3.1	Test system and simulation tool . . . . .	122
4.3.2	Simulation results . . . . .	123
4.3.2.1	Study of three optimisation modes . . . . .	123
4.3.2.2	Impact of islanding constraints on operating economy in mode 2 optimisation . . . . .	125
4.3.2.3	Analysis of scenarios S#1 and S#2 in mode 2 optimisation	128
4.3.2.4	Impact of various flexibilities in S#2 with mode 2 opti- misation . . . . .	133
4.3.2.5	Analysis of an unintended islanding event . . . . .	134
4.4	Conclusions . . . . .	135
<b>5</b>	<b>Resilience-Oriented Scheduling of DC Microgrid Considering Participa- tion in the Automatic Frequency Regulation Reserves market</b>	<b>139</b>
5.1	Introduction . . . . .	139
5.2	Operational Framework and Uncertainty Modelling . . . . .	144
5.2.1	System configuration and operating framework . . . . .	144
5.2.2	Uncertainty Modelling . . . . .	145
5.3	EMPC based EMS for normal scheduling . . . . .	147
5.3.1	Objective function . . . . .	147
5.3.2	Constraints . . . . .	148
5.3.2.1	Nodal power balance . . . . .	148
5.3.2.2	DC $\mu$ G network operating constraints . . . . .	149

5.3.2.3	GIC and DG rating constraints . . . . .	149
5.3.2.4	BESS constraints . . . . .	150
5.3.2.5	AE and HSS constraints . . . . .	150
5.3.3	Linearisation of non-convex DC $\mu$ G network model . . . . .	151
5.3.4	Additional constraints for participation in the aFRR market . . . . .	153
5.3.4.1	Additional constraints on FC, MT, AE, and HSS for participation in the aFRR market . . . . .	153
5.3.4.2	Additional constraints on the BESS for participation in the aFRR market . . . . .	154
5.3.4.3	Additional constraints on DC $\mu$ G network and GIC for participation in the aFRR market . . . . .	156
5.3.5	Solution using the EMPC framework . . . . .	157
5.4	R-MPCE for resilience-oriented economic scheduling . . . . .	159
5.4.1	Problem formulation under normal operation . . . . .	161
5.4.2	Problem formulation for proactive preparation . . . . .	162
5.4.3	Problem formulation for emergency period . . . . .	163
5.4.3.1	Stage 3a . . . . .	163
5.4.3.2	Stage 3b . . . . .	164
5.5	Simulation and Results . . . . .	165
5.5.1	Test System . . . . .	165
5.5.2	Simulation cases . . . . .	166
5.5.3	Results . . . . .	168
5.5.3.1	Case-1(C#1) . . . . .	168
5.5.3.2	Case-2(C#2) . . . . .	172
5.5.3.3	Case-3(C#3) . . . . .	173
5.5.3.4	Case-4(C#4) . . . . .	174
5.5.4	Impact of Resilient operation, participation in the aFRR market, and duration of proactive preparation . . . . .	175
5.5.4.1	Impact of Resilient operation . . . . .	175
5.5.4.2	Impact of participation in the aFRR market . . . . .	176
5.5.4.3	Impact of the duration of proactive preparation . . . . .	177
5.5.5	Comparison with existing work . . . . .	181

5.5.6	Discussion on algorithm scalability . . . . .	182
5.5.7	Statistical analysis with input RV variability . . . . .	183
5.6	Conclusions . . . . .	183
<b>6</b>	<b>Conclusions and Future Scope</b>	<b>185</b>
6.1	Conclusion . . . . .	185
6.2	Future scope . . . . .	186
	<b>List of Publications</b>	<b>215</b>